

Sponsored Programs Foundation

History of IDC Returns to Cal Poly Humboldt

The Sponsored Programs Foundation (SPF) receives revenue by including an indirect rate percent on each proposal that is submitted through this office. This is the main source of revenue that is received through SPF and is to be used in the following manner in the priority order listed:

- 1) Operating Expenses of SPF
- 2) Maintain Cash Reserve as approved by Board of Directors
- 3) Budgeted University Use Funds
- 4) **Distribute** any remaining revenue back to the University SPF trusts. The amount of revenue to be distributed is to be approved by the SPF Board of Directors.

After the end of each fiscal year has been closed out, an analysis will be done to determine the amount of remaining indirect revenue eligible to be distributed by the Board. The analysis will be as follows:

Once items 1-3 above have been achieved, then the IDC revenue will be distributed as follows:

- First, 5% will be given to President's Trust
- Second, of the remaining balance; 15% will be given to the Office of Research & Sponsored Programs
- Third, of the remaining balance it will be distributed as follows:

The base will be all qualifying grants and the amount of spending processed by those grants in the fiscal year. A qualifying grant is any grant/contract that had an effective indirect rate of at least 15%. Effective indirect rate is defined as total indirect budget divided by total direct budgeted expenses.

Once the base has been determined for that fiscal year, then the distribution will be as follows:

- 50% to the College that the respective grant was under
- 20% to the Department* that the respective grant was under
- 30% to the PI's trust fund

**In the event a grant was affiliated with an Institute/Center, then this will be evenly divided between Department and Institute/Center.*

History of IDC Returns to Cal Poly Humboldt	
There have been distributions in 11/12 years since the policy started:	
2022/23 =	\$850,304
2021/22 =	\$683,031
2020/21 =	\$974,122
2019/20 =	\$656,822
2018/19 =	\$1,067,876
2017/18 =	\$1,201,262
2016/17 =	\$1,028,328
2015/16 =	\$1,012,864
2014/15 =	\$403,083
2013/14 =	\$140,183
2012/13 =	Skipped this year during the reserve build up
2011/12 =	\$70,995
2010/11	The policy went into effect May 2011
Total IDC Returned to Campus = \$8,088,870	

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2023
Based on Effective Rate

\$850,428

Percent of Spending

850,304.00

Potential Distribution

Level	Percentage	Description	Percentage of Spending	Amount
Level One	5%	to President's Research Trust T8041		42,515
		Remaining Balance		807,789
Level Two	15%	of Remaining Balance to Research & Spons Prog T7003		121,168
		Remaining Balance		686,620
Level Three	50%	of Remaining Balance to Colleges		343,312
Academic Affairs Res. Trust	T8010	ACADEMIC AFFAIRS	9.98%	34,246
CAHSS Research Trust	T7720	COLLEGE OF ARTS, HUM, - SS	11.64%	39,954
CNRS Research Trust	T7006	COLLEGE OF NAT RES. - SCIENCES	41.72%	143,245
CPS Research Trust	T7951	COLLEGE OF PROF STUDIES	3.79%	13,016
Enrollment Management Trust	T8016	ENROLLMENT MANAGEMENT	0.32%	1,085
Office of Research & SP Trust	T7003	OFFICE OF RESEARCH	24.50%	84,116
ADV Initiatives Research Trust	T8093	UNIVERSITY ADVANCEMENT	8.05%	27,650
	20%	of Remaining Balance to Departments		137,265
Anthropology Research Trust	T7940	ANTHROPOLOGY	8.81%	12,093
Art Research Trust	T8077	ART	0.32%	446
Biological Sciences Research Trust	T7932	BIOLOGICAL SCIENCES	2.90%	3,979
Chemistry Research Trust	T7609	CHEMISTRY	0.15%	206
Child Development Research Trust	T8087	CHILD DEVELOPMENT	1.21%	1,655
Computer Science Research Trust	T8136	COMPUTER SCIENCE	0.17%	240
CCBL Research Trust	T8186	CTR COMMUNITY BASED LEARNING	9.97%	13,698
Education Research Trust	T8178	EDUCATION	0.47%	645
Engineering Research Trust	T7722	ENGINEERING	11.57%	15,893
English Research Trust	T8122	ENGLISH	0.64%	878
Envir. Science & Management Research Trust	T7532	ENVIRONMENTAL SCIENCE - MGMT	1.87%	2,566
Film Research Trust	T8017	FILM	0.08%	114
Fisheries Research Trust	T7943	FISHERIES BIOLOGY	4.24%	5,820
Forestry & Wildland Research Trust	T7716	FORESTRY - WILDLAND RESOURCES	1.75%	2,406
Geography Research Trust	T7695	GEOG.,ENVI - SPATIAL ANALYSIS	0.58%	796
Geology Research Trust	T7627	GEOLOGY	0.36%	499
INRSEP Trust	T7547	IND NAT RES, SCI-ENG PR	0.17%	234
Marine Facilities Research Trust	T8035	MARINE FACILITIES	1.60%	2,193
NAS Research Trust	T8124	NATIVE AMERICAN STUDIES	1.00%	1,370
Research Ancill Support Trust	T7953	NATURAL RES ANCILL SUPP	0.89%	1,219
Oceanography Research Trust	T7686	OCEANOGRAPHY	0.07%	98
Off of Econ Comm Business DVLP	T7494	OFFICE OF ECON,COMM - BUS.DEV.	24.50%	33,646
Physics Research Trust	T7783	PHYSICS - ASTRONOMY	0.36%	499
Psychology Research Trust	T8020	PSYCHOLOGY	1.52%	2,093
Social Work Research Trust	T7624	SOCIAL WORK	0.59%	814
Enrollment Management Trust	T8016	TRIO PROGRAMS	0.15%	205
ADV Initiatives Research Trust	T8093	UNIV ADVANCEMENT- VICE PRES	4.31%	5,924
Wildlife Research Trust	T7692	WILDLIFE MANAGEMENT	3.14%	4,313
CCRP Trust	T7450	CALIFORNIA CENTER FOR RURAL POLICY (CCRP)	3.91%	5,365
SERC Residual Trust	T7467	SCHATZ ENERGY RESEARCH CENTER (SERC)	10.88%	14,938
HMCSI-Marine & Coastal Science Trust	T8006	HUMBOLDT MARINE & COASTAL SCIENCES INSTITUTE (HMCSI)	1.14%	1,561
Earthquake Center Trust	T7723	HUMBOLDT EARTHQUAKE EDUCATION CENTER (HEEC)	0.19%	267
River Institute Trust	T8005	RIVER INSTITUTE (RI)	0.14%	187
Instit. Spatial Analysis	T7996	INSTITUTE FOR SPATIAL ANALYSIS (ISA)	0.01%	16
Center for Engaged Writing Trust	T8143	CENTER FOR ENGAGED WRITING (CEW)	0.21%	283
Fire Resilience Institute Trust	T8142	FIRE RESILIENCE INSTITUTE (FIRE)	0.08%	106
	30%	of Remaining Balance to PI Trust Funds		206,167
ABELL, J. PI TRUST	T8036	Abell, Jeffrey	1.17%	2,421
ADMIRE, A. PI TRUST	T8074	Admire Amanda	0.24%	500
HARPER, A. PI TRUST	T8190	Alex Harper	0.62%	1,283
ALSTONE, P. PI TRUST	T8080	Alstone, Peter	6.50%	13,404
AMANN, N - PI TRUST	T8171	Amann, Nicolette	0.43%	892
ANGELOFF, N. PI TRUST	T8131	Angeloff, Nicolas	4.41%	9,102
ARLEDGE, D. PI TRUST	T8130	Arledge, Dawn	5.96%	12,290
RISLING BALDY, C. PI TRUST	T8128	Baldy Risling, Cutcha	1.00%	2,055
BARTON, D. PI TRUST	T8030	Barton, Daniel	0.27%	562

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2023
Based on Effective Rate

\$850,428

Percent of Spending

850,304.00

Potential Distribution

BEAN, T. PI TRUST	T8032	Bean, Tim	0.10%	203
BERRILL, J. PI TRUST	T7796	Berrill, John-Pascal	0.30%	611
BOGLE, S. PI TRUST	NEW	Bogle, Sherrene	0.06%	131
BRUNER, D. PI TRUST	T8174	Bruner, David	0.09%	183
BUCHHEISTER, A. PI TRUST	T8083	Buchheister, Andre	0.89%	1,841
BUENO WATTS, N. - PI TRUST	T8102	Bueno Watts, Nievita	0.17%	350
BYRNE, K. PI TRUST	T8092	Byrne, Kerry	0.44%	903
CARROLL, A. PI TRUST	NEW	Carroll, Allyson	0.19%	389
CASHMAN, E. PI TRUST	T8091	Cashman, Eileen	0.08%	160
CASHMAN, S. PI TRUST	T7925	Cashman, Susan	0.04%	75
CLUCAS, B. PI TRUST	T8068	Clucas, Barbara	0.19%	389
COLWELL, M. PI TRUST	T7913	Colwell, Mark	0.32%	652
CORTES-RINCON, M. PI TRUST	T8018	Cortes-Rincon, Marisol	4.29%	8,853
CRAIG, S. PI TRUST	T7980	Craig, Sean	0.49%	1,000
CUELLAR-GEMPELER, C. PI TRUST	T8150	Cuellar-Gempeler, Catalina	1.04%	2,143
CUEVAS URIBE, R. PI TRUST	T8114	Cuevas Uribe, Rafael	0.33%	673
DAGLEY, C. PI TRUST	T7928	Dagley, Christa	0.30%	611
DENGLER, L. PI TRUST	T7942	Dengler, Lori	0.24%	500
DOBLE, Y. PI TRUST	NEW	Doble, Yvonne	0.02%	50
DUNK, J. PI TRUST	T7914	Dunk, Jeff	0.19%	395
ESCHENBACH, E. PI TRUST	T7887	Eschenbach, Elizabeth	0.21%	424
ESCHKER, E. PI TRUST	T7916	Eschker, Erick	0.76%	1,570
FINGERMAN, K. PI TRUST	T8059	Fingerman, Kevin	0.61%	1,250
FINNEY, B. PI TRUST	T7924	Finney, Brad	0.05%	110
FOGARTY, F. PI TRUST	NEW	Fogarty, Frank	0.13%	268
FRANCIA, R. PI TRUST	T8158	Francia, Rose	0.32%	651
GEARHART, R. PI TRUST	NEW	Gearhart, Robert	0.43%	883
GOLD, G. PI TRUST	T8183	Gold, Greg	0.76%	1,570
GOLEY, D. PI TRUST	T7751	Goley, Dawn	0.87%	1,790
GRAHAM, B. PI TRUST	NEW	Graham, Benjamin	0.06%	114
GRAHAM, J. PI TRUST	T8067	Graham, Jim	0.02%	50
HART, S. PI TRUST	NEW	Hart, Sara	9.97%	20,545
HENDERSON, M. PI TRUST	T8069	Henderson, Mark	1.12%	2,311
HOPPER, C. PI TRUST	T7945	Hopper, Chris	0.02%	46
HOYLE, C. PI TRUST	T7918	Hoyle, CD	0.36%	748
HURST, M. PI TRUST	T7002	Hurst, Matthew	0.09%	193
JACOBSON, A. PI TRUST	T7482	Jacobson, Arne	11.65%	24,009
JANNETTA, D. PI TRUST	NEW	Jannetta, David	0.08%	172
JENSEN, J. PI TRUST	T8184	Jensen, Jamie	0.59%	1,220
JOHNSON, K. PI TRUST	T7458	Johnson, Kristin	24.48%	50,463
JOHNSON, M. PI TRUST	T7931	Johnson, Matthew	1.48%	3,054
JULES, E. PI TRUST	T7877	Jules, Erik	0.02%	50
KANE, J. PI TRUST	T8031	Kane, Jeff	0.04%	90
KELLY, E. PI TRUST	T8028	Kelly, Erin	0.06%	114
KERHOULAS, L. PI TRUST	T8047	Kerhoulas, Lucy	0.33%	682
KIEMNEC-TYBURCZY, K. PI TRUST	T8137	Kiemnec-Tyburczy, Karen	0.42%	864
KINZIGER, A. PI TRUST	T7990	Kinziger, Andrew	0.90%	1,847
LAM, N. PI TRUST	T8113	Lam, Nick	0.11%	222
LANG, M. PI TRUST	T7890	Lang, Margaret	0.19%	397
LARA-COOPER, K. PI TRUST	T8155	Lara-Cooper, Kishan	0.17%	355
LEDoux-BLOOM, C. PI TRUST	T8153	LeDoux-Bloom, Cynthia	0.11%	227
LEHMAN, P. PI TRUST	T7757	Lehman, Peter	2.46%	5,069
GRAFMAN, L PI TRUST	T8097	Grafman, Lonny	0.22%	453
MARIN JARRIN, F. PI TRUST	T8138	Marin-Jarrin, Jose	0.43%	896
MARLOW, J. PI TRUST	T8141	Marlow, Jennifer	0.15%	313
MARSHALL, S. PI TRUST	T7919	Marshall, Susan	0.51%	1,053
MERRICK, S. PI TRUST	T8179	Merrick, Sara	1.20%	2,482
MESLER, M. PI TRUST	T7948	Mesler, Michael	0.06%	130
MICHALAK, M. PI TRUST	T8120	Michalak, Melanie	0.04%	75
MILLER, L. PI TRUST	T8181	Miller, Libby	0.30%	612
MONTEIRO, J. PI TRUST	NEW	Monteiro, Jorge	0.06%	116
MULLIGAN, T. PI TRUST	T7761	Mulligan, Tim	0.33%	675
O'DOWD, A. PI TRUST	T7936	O'Dowd, Alison	0.57%	1,184
PAVAN, S. PI TRUST	NEW	Pavan, Sylvia	0.04%	89
REISS, J. PI TRUST	T7879	Reiss, John	0.36%	750
RICHMOND, L. PI TRUST	T8061	Richmond, Laurie	0.33%	671
SHERRIFF, R. PI TRUST	T7921	Sherriff, Rosemary	0.29%	597
SILLETT, S. PI TRUST	T7881	Sillett, Steve	0.66%	1,351

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2023
Based on Effective Rate

\$850,428

Percent of Spending

850,304.00

Potential Distribution

STEWART, C. PI TRUST	T7906	Stewart, Connie	2.08%	4,298
STUBBLEFIELD, A. PI TRUST	T7492	Stubblefield, Andrew	0.04%	85
SZYKMAN-GUNTHER, M. PI TRUST	T8023	Szykman Gunther, Micaela	0.09%	194
TARLTON, J. PI TRUST	T8107	Tarlton, Jennifer	0.02%	50
TISSOT, B. PI TRUST	T8022	Tissot, Brian	0.46%	952
TREMAIN, L. PI TRUST	NEW	Tremain, Lisa	0.21%	425
TRUSH, W. PI TRUST	T8042	Trush, William	0.02%	50
TUTTLE, S. PI TRUST	NEW	Tuttle, Sharon	0.11%	228
WAN, H. PI TRUST	T8163	Wan, Ho Yi	0.31%	642
WARD, D. PI TRUST	T7930	Ward, Darren	0.97%	2,007
WOGLOM, J. PI TRUST	T8177	Woglom, James	0.32%	669
YACOB, T. PI TRUST	T8160	Yacob, Tesfa	0.02%	50
ZHONG, J. PI TRUST	T7903	Zhong, Jianmin	0.08%	162
ZOELICK, J. PI TRUST	NEW	Zoellick, James	0.45%	932

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2022
Based on Effective Rate

\$683,518

Percent of Spending

Potential Distribution

\$ 683,031

Level One		5%	to HSU President's Trust T8041		34,152
			Remaining Balance		648,879
Level Two		15%	of Remaining Balance to Research & Spons Prog T7003		97,332
			Remaining Balance		551,547
Level Three		50%	of Remaining Balance to Colleges		275,774
Academic Affairs Res. Trust	T8010	ACADEMIC AFFAIRS		0.15%	420
CAHSS Research Trust	T7720	COLLEGE OF ARTS, HUM, - SS		9.98%	27,528
CNRS Research Trust	T7006	COLLEGE OF NAT RES. - SCIENCES		38.44%	106,007
CPS Research Trust	T7951	COLLEGE OF PROF STUDIES		2.53%	6,982
HSU President's Trust	T8041	PRESIDENT		9.95%	27,444
Enrollment Management Trust	T8016	ENROLLMENT MANAGEMENT		1.34%	3,688
Office of Research & SP Trust	T7003	OFFICE OF RESEARCH		37.23%	102,657
ADV Initiatives Research Trust	T8093	UNIVERSITY ADVANCEMENT		0.35%	976
	NEW	ATHLETICS AND RECREATION		0.03%	72
		20%	of Remaining Balance to Departments		112,281
Anthropology Research Trust	T7940	ANTHROPOLOGY		9.31%	10,456
Art Research Trust	T8077	ART		0.13%	141
Biological Sciences Research Trust	T7932	BIOLOGICAL SCIENCES		3.18%	3,567
	NEW	CENTER ACTIVITIES		0.04%	50
Chemistry Research Trust	T7609	CHEMISTRY		0.18%	204
Child Development Research Trust	T8087	CHILD DEVELOPMENT		0.75%	841
	NEW	CHILDREN'S CENTER		1.04%	1,171
Computer Science Research Trust	T8136	COMPUTER SCIENCE		0.19%	217
Counseling-Psych Svcs Trust	T8025	COUNSELING - PSYCH SVCS		0.10%	108
	NEW	CTR COMMUNITY BASED LEARNING		0.12%	139
Economics Research Trust	T7960	ECONOMICS		0.06%	72
	NEW	EDUCATION		0.10%	109
Engineering Research Trust	T7722	ENGINEERING		11.16%	12,529
English Research Trust	T8122	ENGLISH		0.04%	50
Envir. Science & Management Research Trust	T7532	ENVIRONMENTAL SCIENCE - MGMT		1.86%	2,086
Fisheries Research Trust	T7943	FISHERIES BIOLOGY		3.84%	4,306
Forestry & Wildland Research Trust	T7716	FORESTRY - WILDLAND RESOURCES		1.40%	1,571
Geology Research Trust	T7627	GEOLOGY		0.64%	723
	INRSEP Trust	IND NAT RES, SCI-ENG PR		0.04%	50
ITEPP Research Trust	T8101	INDIAN TEACHER - ED PERS PR		0.04%	50
Kinesiology & Rec Research Trust	T8056	KINESIOLOGY - RECREATION ADMIN		0.04%	50
Library Research Trust	T8073	LIBRARY DEAN		0.04%	50
Marine Facilities Research Trust	T8035	MARINE FACILITIES		0.89%	1,003
Mathematics Research Trust	T7787	MATHEMATICS		0.04%	50
NAS Research Trust	T8124	NATIVE AMERICAN STUDIES		0.33%	372
Research Ancill Support Trust	T7953	NATURAL RES ANCILL SUPP		0.66%	741
Oceanography Research Trust	T7686	OCEANOGRAPHY		0.14%	159
Off of Econ Comm Business DVLP	T7494	OFFICE OF ECON,COMM - BUS.DEV.		36.57%	41,064
Physics Research Trust	T7783	PHYSICS - ASTRONOMY		0.24%	264
HSU President's Trust	T8041	PRESIDENT'S OFFICE		5.70%	6,399
Psychology Research Trust	T8020	PSYCHOLOGY		1.24%	1,396
Social Work Research Trust	T7624	SOCIAL WORK		0.22%	242
Enrollment Management Trust	T8016	TRIO PROGRAMS		0.08%	87
ADV Initiatives Research Trust	T8093	UNIV ADVANCEMENT- VICE PRES		0.17%	195
Wildlife Research Trust	T7692	WILDLIFE MANAGEMENT		4.17%	4,680
Cost Center - CCRP Trust	T7444	CALIFORNIA CENTER FOR RURAL POLICY (CCRP)		4.30%	4,833
HIIMR Research Trust	T8071	HUMBOLDT INST. INTERDISCIPLINARY MARIJUANA RESEARCH (HIIMR)		0.04%	50
Full Life Inst Research Trust	T8157	FULL LIFE INSTITUTE (FLI)		0.12%	130
SERC Residual Trust	T7467	SCHATZ ENERGY RESEARCH CENTER (SERC)		9.30%	10,443
HMCSI-Marine & Coastal Science Trust	T8006	HUMBOLDT MARINE & COASTAL SCIENCES INSTITUTE (HMCSI)		1.12%	1,252
Earthquake Center Trust	T7723	HUMBOLDT EARTHQUAKE EDUCATION CENTER (HEEC)		0.14%	162
River Institute Trust	T8005	RIVER INSTITUTE (RI)		0.07%	73

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2022
Based on Effective Rate

\$683,518

Percent of Spending

Potential Distribution

\$ 683,031

Instit. Spatial Analysis	T7996	INSTITUTE FOR SPATIAL ANALYSIS (ISA)	0.04%	50
Marine Wildlife Care Center	T8054	MARINE WILDLIFE CARE CENTER (MWCC)	0.09%	96
30% of Remaining Balance to PI Trust Funds				163,979
ADMIRE, A. PI TRUST	T8074	Admire, Amanda	0.33%	534
ABELL, J. PI TRUST	T8036	Abell, Jeffrey	0.26%	425
ALSTONE, P. PI TRUST	T8080	Alstone, Peter	2.27%	3,719
	NEW	Amann, Nicolette	0.04%	63
PAWLOWSKI, J. PI TRUST	T8140	Anderson, Jill	0.03%	50
ANGELOFF, N. PI TRUST	T8131	Angeloff, Nicolas	4.73%	7,761
ARLEDGE, D. PI TRUST	T8130	Arledge, Dawn	5.60%	9,178
RISLING BALDY, C. PI TRUST	T8128	Baldy Risling, Cutcha	0.34%	559
BARRIQUAND, T. PI TRUST	T8132	Barriquand, Tamara	0.19%	305
BARTON, D. PI TRUST	T8030	Barton, Daniel	0.38%	618
BEAN, T. PI TRUST	T8032	Bean, Tim	0.20%	326
BERRILL, J. PI TRUST	T7796	Berrill, John-Pascal	0.39%	642
BJORKSTEDT, E. PI TRUST	T7456	Bjorkstedt, Eric	0.11%	177
	NEW	Bruner, David	0.15%	238
BUCHHEISTER, A. PI TRUST	T8083	Buchheister, Andre	0.56%	925
BUENO WATTS, N. - PI TRUST	T8133	Bueno Watts, Nievita	0.04%	71
BYRNE, K. PI TRUST	T8092	Byrne, Kerry	0.39%	641
CASHMAN, E. PI TRUST	T8091	Cashman, Eileen	0.20%	331
CASHMAN, S. PI TRUST	T7925	Cashman, Susan	0.08%	131
CHATTI, D. PI TRUST	T8127	Chatti, Deepti	1.25%	2,047
CLUCAS, B. PI TRUST	T8068	Clucas, Barbara	0.03%	50
COLEGROVE-RAYMOND, A. PI TRUST	T8100	Colegrove-Raymond, Adrienne	0.03%	50
CORTES-RINCON, M. PI TRUST	T8018	Cortes-Rincon, Marisol	4.61%	7,558
CRAIG, S. PI TRUST	T7980	Craig, Sean	0.24%	392
CUELLAR-GEMPELER, C. PI TRUST	T8150	Cuellar-Gempeler, Catalina	0.68%	1,117
CUEVAS URIBE, R. PI TRUST	T8114	Cuevas Uribe, Rafael	0.31%	513
DAGLEY, C. PI TRUST	T7928	Dagley, Christa	0.39%	642
DENGLER, L. PI TRUST	T7942	Dengler, Lori	0.15%	243
DUNK, J. PI TRUST	T7914	Dunk, Jeff	0.23%	385
ESCHKER, E. PI TRUST	T7916	Eschker, Erick	0.70%	1,155
FINGERMAN, K. PI TRUST	T8059	Fingerman, Kevin	0.19%	313
FINNEY, B. PI TRUST	T7924	Finney, Brad	0.07%	107
FRANCIA, R. PI TRUST	T8158	Francia, Rose	0.16%	261
	NEW	Gold, Gregg	0.64%	1,047
GOLEY, D. PI TRUST	T7751	Goley, Dawn	0.69%	1,138
GOLIGHTLY, R. PI TRUST	T7735	Golightly, Rick	2.55%	4,184
GRAHAM, J. PI TRUST	T8067	Graham, Jim	0.07%	111
	NEW	Harrill, Hunter	0.03%	50
HASAN, A. PI TRUST	T8149	Hasan, Abeer	0.03%	50
HENDERSON, M. PI TRUST	T8069	Henderson, Mark	1.08%	1,765
HOPPER, C. PI TRUST	T7945	Hopper, Chris	0.13%	212
HOYLE, C. PI TRUST	T7918	Hoyle, CD	0.24%	396
HURST, M. PI TRUST	T7002	Hurst, Matthew	0.10%	160
JACOBSON, A. PI TRUST	T7482	Jacobson, Arne	12.01%	19,694
	NEW	Jensen, Jamie	0.24%	392
JOHNSON, K. PI TRUST	T7458	Johnson, Kristin	37.56%	61,596
JOHNSON, M. PI TRUST	T7931	Johnson, Matthew	0.68%	1,117
JULES, E. PI TRUST	T7877	Jules, Erik	0.05%	77
KERHOULAS, L. PI TRUST	T8047	Kerhoulas, Lucy	0.06%	106
KIEMNEC-TYBURCZY, K. PI TRUST	T8137	Kiemnec-Tyburczy, Karen	0.04%	69
KINZIGER, A. PI TRUST	T7990	Kinziger, Andrew	1.30%	2,126
LAM, N. PI TRUST	T8113	Lam, Nick	0.87%	1,424
LANG, M. PI TRUST	T7890	Lang, Margaret	1.64%	2,689
LARA-COOPER, K. PI TRUST	T8155	Lara-Cooper, Kishan	0.05%	82
LEDoux-BLOOM, C. PI TRUST	T8153	LeDoux-Bloom, Cynthia	0.03%	51
LEHMAN, P. PI TRUST	T7757	Lehman, Peter	2.52%	4,135
MARIN JARRIN, F. PI TRUST	T8138	Marin-Jarrin, Jose	0.96%	1,568
MARLOW, J. PI TRUST	T8141	Marlow, Jennifer	0.34%	553
	NEW	Marshall, David	0.03%	50

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2022
Based on Effective Rate

\$683,518

Percent of Spending

Potential Distribution

\$ 683,031

MARSHALL, S. PI TRUST	T7919	Marshall, Susan	0.38%	630
	NEW	Merrick, Sara	0.25%	417
MESLER, M. PI TRUST	T7948	Mesler, Michael	0.07%	114
MICHALAK, M. PI TRUST	T8120	Michalak, Melanie	0.08%	131
	NEW	Miller, Libby	0.05%	82
MULLIGAN, T. PI TRUST	T7761	Mulligan, Tim	0.78%	1,284
NICHOLS, B PI TRUST	T8166	Nichols, Bridget	0.03%	50
OBERLANDER, C. PI TRUST	T8139	Oberlander, Cyril	0.03%	50
O'DOWD, A. PI TRUST	T7936	O'Dowd, Alison	0.28%	452
O'NEILL, M. PI TRUST	T8057	O'Neil, Marissa	0.10%	167
PATINO, M. PI TRUST	T8152	Patino, May	0.27%	439
RICHMOND, L. PI TRUST	T8061	Richmond, Laurie	0.60%	988
	NEW	Robertson, Rebecca	0.08%	125
SANFORD, J. PI TRUST	T8024	Sanford, Jennifer	0.10%	162
SHERRIFF, R. PI TRUST	T7921	Sherriff, Rosemary	0.06%	106
SPROWLES, A. PI TRUST	T8050	Sprowles, Amy	0.11%	182
STEWART, C. PI TRUST	T7906	Stewart, Connie	4.53%	7,435
SZYKMAN-GUNTHER, M. PI TRUST	T8023	Szykman Gunther, Micaela	0.17%	280
	NEW	Thornhill, Kathy	0.13%	208
TILL, C. PI TRUST	T8088	Till, Claire	0.09%	147
TISSOT, B. PI TRUST	T8022	Tissot, Brian	0.52%	853
TRUSH, W. PI TRUST	T8042	Trush, William	0.07%	109
WAN, H. PI TRUST	T8163	Wan, Ho Yi	0.03%	50
	NEW	Wilson, Elizabeth-Anne	1.07%	1,756
	NEW	Woglom, James	0.13%	212
YOU, H. PI TRUST	T8147	You, Hyun-Kyung	0.52%	845
ZHONG, J. PI TRUST	T7903	Zhong, Jianmin	0.20%	336

CAL POLY HUMBOLDT SPONSORED PROGRAMS FOUNDATION
ANNUAL REPORT OF INDIRECT COST RECOVERY EXPENDITURES

Fiscal Year 2022-2023

Office of the President

Dr. Tom Jackson, Jr, Cal Poly Humboldt President

The President's Research Trust received \$34,152 in Level 1 funds in fiscal year 2022-23. These funds originated from the Sponsored Programs Foundation as otherwise undistributed recovered Indirect Costs (IDC). Of that amount, \$4,500 was used for the McCrone Promising Faculty Scholars Awards, an annual award to recognize Cal Poly Humboldt's newer faculty, to further their research, scholarship, and creative activities.

A total of \$14,163 was allocated to support the goal of establishing a partnership with Charles Darwin University (CDU) - Australia in Energy and Technology (Subsea Cable Partnership). A delegation from this region representing governmental relations, academic partnerships, University leadership, and Tribal Government met with counterparts in a productive engagement. Subsequently, representatives from Darwin have been jointly invited by the Blue Lake Rancheria and Cal Poly Humboldt to visit this region to complete an MOU. It is confirmed that CDU is planning to travel to the USA in the early summer of 2024. Dialogue on fire safety and energy systems partnerships between the two campuses are ongoing.

The Level 1 fund balance of the 2022 distribution from IDC Revenue, combined with accumulated IDC Revenue from the President's Research Trust, will continue to fund the Initiatives Grant Writing Team, under the leadership of Cal Poly Humboldt's Executive Director of Initiatives, Connie Stewart. The Level 3 distribution of \$33,843, earned from grants under the ADV Initiatives team, was directed back to their trust.

Currently an integral part of the ADV Initiatives team, Dr. Mary Gonzalez, the former Associate Vice President for Student Access at Texas A&M University-Kingsville, had been hired to grow the number of projects Cal Poly Humboldt receives through the National Science Foundation, U.S. Department of Education, and other federal funders. Dr. Gonzalez brought in about \$1.5 million in new grants during 2022-23. She continued primary management of the current \$19 million K-16 grant, which she had previously brought in. Overall, Dr. Gonzalez is responsible for bringing in more than \$20 million in grant funding during her short time at Humboldt.

Office of Research & Sponsored Programs

Kacie Flynn, Executive Director

The Office of Research & Sponsored Programs (ORSP) received \$199,989 from indirect cost recovery in Fall 2022. Funds were allocated per the policy in two allotments: Level 2 to the Office of

Research (\$97,332) and Level 3 to ORSP (\$102,657), due to awards of individuals reporting to this office.

A total of \$28,175 was used to support student wages for both undergraduate and graduate students working with various sponsored programs. This included 4 student interns placed in multiple Small Business Development Centers across the state.

\$10,000 was allocated to support ongoing grant writing efforts of the Schatz Energy Research Center (SERC). They are developing a cluster of funding proposals that would significantly grow the work at the Schatz Center related to microgrids and resilient community energy systems. An organizing focus of this work is advancing towards community-serving microgrids in the Hoopa Valley, on the Yurok Reservation, and in the Karuk communities of Orleans and Somes Bar. Overall, they anticipate that this would represent an approximate \$100 Million portfolio of work, of which ~\$10 million would directly support activities at the Schatz Center.

Another \$5,500 was leveraged to support videographer time through the Department of Art & Film in creation of the Tolowa Dee-ni film/language project with Dave Jannetta and David Scheerer. \$750 was used to support Enrollment Management programs by co-funding their Council for Opportunity in Education (COE) institutional membership.

Additional funding was allocated to co-sponsor an SPF led College of Professional Studies Grant Writing Workshop Series, Research Development mixers/speed networking events, as well as provide ad hoc seed money for smaller projects. *Details of allotments available upon request.*

Academic Affairs

Dr. Jenn Capps, Provost/Vice President Academic Affairs

Academic Affairs received an allocation of \$3,562 from indirect cost recovery in Fall 2022. During the 22-23 period there were minor expenditures in support of College Core activities. Several accounting corrections returned funding to the fund resulting in a final balance of \$7,568, to be invested in 23-24.

College of Arts, Humanities, & Social Sciences

Dr. Jeff Crane, Dean

The College of Arts, Humanities and Social Sciences received \$27,528, from indirect cost recovery in Fall 2022, which added to a previous unallocated balance for a new total of \$82,823. During Fiscal Year 2022-23, CAHSS spent \$12,113 on computers and equipment to support faculty and student research in the field and as part of the Cultural Resources Facility. \$2,981 went towards student assistant wages for work on inventorying artifacts to meet the requirements of CalNAGPRA. \$5,000 was used to support faculty travel to survey and develop a new research site. Finally, \$5,000 was allocated towards supporting our international faculty in obtaining permanent residency.

College of Natural Resources and Sciences

Dr. Eric Riggs, Dean

The College of Natural Resources and Sciences received a distribution of \$108,701 from indirect cost recovery and miscellaneous transfers in Fall 2022, which added to a previous unallocated balance for a new total of \$248,042. This funding placed CNRS in a position to fund several initiatives to support college research programs and initiatives.

Approximately \$132,304 was allocated to fund commitments in this period. \$12,360 was allocated to support the needs of international faculty. \$9,722 was allocated to support the faculty development by providing research support. \$9,993 was allocated for a graduate student research assistant in the Department of Forestry. A large portion of the year's expenditures went to service contracts, equipment and supply costs directly related to research activity. \$35,431 supported the contract to maintain the Scanning Electron Microscope as a college resource. \$22,690 was allocated to maintain service on the CT Scanner in Biology. \$10,987 went to support the maintenance of a multi-use instrument in the CNRS Core Facility. \$30,300 was allocated to specialized equipment in Geology. \$910 was allocated to improve faculty research space. Overall, in 2022-2023 the College was able to support and develop a variety of activities around faculty research, while maintaining a healthy funding balance for the future.

College of Professional Studies

Dr. Shawna Young, Dean

The College of Professional Studies was able to utilize Indirect Revenue to co-sponsor an SPF led CPS Grant Writing Workshop Series for six of our faculty. Of the \$6,982 indirect revenue distributed to the college for FY 22/23, we were able to carry forward \$2,394 to FY 23/24 to further support faculty grant-seeking efforts.

Enrollment Management/Student Affairs

Chrissy Holliday, Vice President for Enrollment Management & Student Success

The Office of the Vice President of Enrollment Management received an allocation of \$3,775, of which \$3,688 was used to augment student programming for the Project Rebound and Reentry Forum and other related events, and \$87 was used for student programming related to TRIO.

University Advancement

Frank Whitlatch, Vice President for University Advancement

Funds were used to provide convening, facilitation, and research support for the North Coast Health Leadership Team, which is composed of 16 healthcare and human services organizations from across Humboldt and Del Norte counties. The workgroup helped Cal Poly Humboldt obtain critical behavioral health care funding for the region.

Our team wrote a grant on behalf of Mad River Community Hospital and the County of Humboldt which was awarded \$12,361,918 million by the California Department of Health Care Services Behavioral Health Community Infrastructure Program (BHCIP) Round 5 grant. The funding will be used to design and build a new, 43-bed Behavioral Health Crisis Triage Center in Arcata on the Mad River Hospital campus.

The Behavioral Health Crisis Triage Center will include:

- 12 crisis stabilization unit beds – six for adults and six for children and youth.
- 9 mental health crisis residential beds
- 10 crisis residential beds for those with dual-diagnosis substance use disorder and mental health.
- 12 sobering cots

Our team also helped secure \$2.6 million in matching funds for the project from the County of Humboldt and secured a donation of land from Mad River Community Hospital to place the property. The goal is to complete the facility by November 2026, with services beginning December 2026.

Athletics and Recreation

Nick Pettit, Executive Director of Intercollegiate Athletics and Recreational Sports

Athletics and Recreation received an allocation of \$72 this past year. Funds will be saved until there is a significant balance available for investment in programming.

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2021
Based on Effective Rate

\$974,415

Percent of Spending

Potential Distribution

\$ 974,122

Level One	5%	to HSU President's Trust T8041		48,706
		Remaining Balance		925,416
Level Two	15%	of Remaining Balance to Research & Spons Prog T7003		138,812
		Remaining Balance		786,604
Level Three	50%	of Remaining Balance to Colleges		393,302
Academic Affairs Res. Trust	T8010	ACADEMIC AFFAIRS	0.35%	1,358
CAHSS Research Trust	T7720	COLLEGE OF ARTS, HUM, - SS	5.70%	22,279
CNRS Research Trust	T7006	COLLEGE OF NAT RES. - SCIENCES	41.76%	165,133
CPS Research Trust	T7951	COLLEGE OF PROF STUDIES	2.62%	10,232
HSU President's Trust	T8041	PRESIDENT	5.74%	22,889
Enrollment Management Trust	T8016	ENROLLMENT MANAGEMENT	0.07%	283
Dean of Research & SP Trust	T7003	OFFICE OF RESEARCH	43.76%	171,128
	20%	of Remaining Balance to Departments		157,479
Anthropology Research Trust	T7940	ANTHROPOLOGY	5.44%	8,558
Art Research Trust	T8077	ART	0.16%	256
Biological Sciences Research Trust	T7932	BIOLOGICAL SCIENCES	1.05%	1,645
Chemistry Research Trust	T7609	CHEMISTRY	0.09%	144
Child Development Research Trust	T8087	CHILD DEVELOPMENT	1.01%	1,567
Computer Science Research Trust	T8136	COMPUTER SCIENCE	0.22%	339
Economics Research Trust	T7960	ECONOMICS	1.45%	2,271
Engineering Research Trust	T7722	ENGINEERING	11.40%	17,934
Environ. Science & Management Research Trust	T7532	ENVIRONMENTAL SCIENCE - MGMT	1.45%	2,262
Fisheries Research Trust	T7943	FISHERIES BIOLOGY	3.83%	6,017
Forestry & Wildland Research Trust	T7716	FORESTRY - WILDLAND RESOURCES	2.92%	4,589
Geography Research Trust	T7695	GEOG.,ENVI - SPATIAL ANALYSIS	0.06%	98
Geology Research Trust	T7627	GEOLOGY	0.54%	851
ITEPP Research Trust	T8101	INDIAN TEACHER - ED PERS PR	0.03%	50
Kinesiology & Rec Research Trust	T8056	KINESIOLOGY - RECREATION ADMIN	0.15%	238
Library Research Trust	T8073	LIBRARY DEAN	0.34%	543
Marine Facilities Research Trust	T8035	MARINE FACILITIES	1.51%	2,369
Mathematics Research Trust	T7787	MATHEMATICS	0.22%	346
Research Ancill Support Trust	T7953	NATURAL RES ANCILL SUPP	2.32%	3,639
Oceanography Research Trust	T7686	OCEANOGRAPHY	0.17%	271
Off of Econ Comm Business DVLP	T7494	OFFICE OF ECON,COMM - BUS.DEV.	43.49%	68,451
Physics Research Trust	T7783	PHYSICS - ASTRONOMY	0.06%	101
HSU President's Trust	T8041	PRESIDENT'S OFFICE	2.93%	4,591
Social Work Research Trust	T7624	SOCIAL WORK	0.03%	50
Health-Wellbeing Research Trust	T8065	STUDENT MEDICAL SERVICES	0.03%	50
Enrollment Management Trust	T8016	TRIO PROGRAMS	0.06%	88
Wildlife Research Trust	T7692	WILDLIFE MANAGEMENT	4.84%	7,870
HMCSI-Marine & Coastal Science Trust	T8006	HUMBOLDT MARINE & COASTAL SCIENCES INSTITUTE (HMCSI)	1.55%	2,430
SERC Residual Trust	T7467	SCHATZ ENERGY RESEARCH CENTER (SERC)	9.58%	15,075
Cost Center - CCRP Trust	T7444	CALIFORNIA CENTER FOR RURAL POLICY (CCRP)	2.91%	4,564
Instit. Spatial Analysis	T7996	INSTITUTE FOR SPATIAL ANALYSIS (ISA)	0.11%	172
	NEW	FULL LIFE INSTITUTE (FLI)	0.03%	50
	30%	of Remaining Balance to PI Trust Funds		236,116
ABELL, J. PI TRUST	T8036	Abell, Jeffrey	0.17%	407
ADMIRE, A. PI TRUST	T8074	Admire, Amanda	0.04%	96
ALSTONE, P. PI TRUST	T8080	Alstone, Peter	1.37%	3,230
PAWLOWSKI, J. PI TRUST	T8140	Anderson, Jill	0.04%	83
ANGELOFF, N. PI TRUST	T8131	Angeloff, Nicolas	2.36%	5,573
ARLEDGE, D. PI TRUST	T8130	Arledge, Dawn	2.06%	4,854
BARTON, D. PI TRUST	T8030	Barton, Daniel	0.47%	1,120
BEAN, T. PI TRUST	T8032	Bean, Tim	0.47%	1,108
BERRILL, J. PI TRUST	T7796	Berrill, John-Pascal	0.12%	272
BROWN, R. PI TRUST	T7711	Brown, Richard	0.06%	132
BUCHHEISTER, A. PI TRUST	T8083	Buchheister, Andre	0.04%	96

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2021
Based on Effective Rate

\$974,415

Percent of Spending

Potential Distribution

\$ 974,122

			Percent of Spending		
	BYRNE, K. PI TRUST	T8092	Byrne, Kerry	0.27%	627
	CARTER, A. PI TRUST	T8135	Carter, Adam	0.22%	508
	CASHMAN, E. PI TRUST	T8091	Cashman, Eileen	0.25%	594
	CASHMAN, S. PI TRUST	T7925	Cashman, Susan	0.06%	130
	CHATTI, D. PI TRUST	T8127	Chatti, Deepti	0.30%	716
	CLUCAS, B. PI TRUST	T8068	Clucas, Barbara	0.27%	636
	COLEGROVE-RAYMOND, A. PI TRUST	T8100	Colegrove-Raymond, Adrienne	0.02%	50
	COLWELL, M. PI TRUST	T7913	Colwell, Mark	0.02%	50
	CORTES-RINCON, M. PI TRUST	T8018	Cortes-Rincon, Marisol	3.08%	7,262
	CRAIG, S. PI TRUST	T7980	Craig, Sean	0.47%	1,117
		NEW	Cuellar-Gempeler, Catalina	0.02%	57
	CUEVAS URIBE, R. PI TRUST	T8114	Cuevas Uribe, Rafael	0.24%	573
	DAGLEY, C. PI TRUST	T7928	Dagley, Christa	0.11%	268
	DENGLER, L. PI TRUST	T7942	Dengler, Lori	0.39%	920
	DUNK, J. PI TRUST	T7914	Dunk, Jeff	0.67%	1,590
	ESCHKER, E. PI TRUST	T7916	Eschker, Erick	1.44%	3,406
	FINGERMAN, K. PI TRUST	T8059	Fingerman, Kevin	2.07%	4,877
	FINNEY, B. PI TRUST	T7924	Finney, Brad	1.16%	2,747
		NEW	Francia, Rose	0.06%	133
	GOLEY, D. PI TRUST	T7751	Goley, Dawn	0.36%	848
	GOLIGHTLY, R. PI TRUST	T7735	Golightly, Rick	3.09%	7,285
	GRAHAM, J. PI TRUST	T8067	Graham, Jim	0.26%	603
		NEW	Hasan, Abeer	0.03%	75
	HENDERSON, M. PI TRUST	T8069	Henderson, Mark	3.15%	7,438
		NEW	Hill, Nicole Jean	0.16%	384
	HOPPER, C. PI TRUST	T7945	Hopper, Chris	0.17%	401
	HOYLE, C. PI TRUST	T7918	Hoyle, CD	0.06%	151
	HURST, M. PI TRUST	T7002	Hurst, Matthew	0.02%	50
	JACOBSON, A. PI TRUST	T7482	Jacobson, Arne	12.14%	28,663
	JOHNSON, K. PI TRUST	T7458	Johnson, Kristin	41.02%	96,864
	JOHNSON, M. PI TRUST	T7931	Johnson, Matthew	0.24%	572
	JULES, E. PI TRUST	T7877	Jules, Erik	0.24%	570
	KANE, J. PI TRUST	T8031	Kane, Jeff	0.50%	1,172
	KERHOULAS, L. PI TRUST	T8047	Kerhoulas, Lucy	0.95%	2,246
	KIEMNEC-TYBURCZY, K. PI TRUST	T8137	Kiemnec-Tyburczy, Karen	0.20%	477
	KINZIGER, A. PI TRUST	T7990	Kinziger, Andrew	0.24%	570
	KUNKEL, K. PI TRUST	T8098	Kunkel, Kristine	2.46%	5,812
	LAM, N. PI TRUST	T8113	Lam, Nick	0.65%	1,537
	LANG, M. PI TRUST	T7890	Lang, Margaret	0.42%	981
		NEW	Lara-Cooper, Kishan	0.50%	1,175
		NEW	Larripa, Kamila	0.09%	222
		NEW	LeDoux-Bloom, Cynthia	0.14%	330
	LEHMAN, P. PI TRUST	T7757	Lehman, Peter	2.61%	6,169
	MARIN JARRIN, F. PI TRUST	T8138	Marin-Jarrin, Jose	0.67%	1,587
	MARSHALL, S. PI TRUST	T7919	Marshall, Susan	0.14%	319
		NEW	Mazzag, Bori	0.09%	222
	MESLER, M. PI TRUST	T7948	Mesler, Michael	0.10%	243
	MICHALAK, M. PI TRUST	T8120	Michalak, Melanie	0.06%	130
	MISTLER, B. PI TRUST	T8094	Mistler, Brian	0.02%	50
	MULLIGAN, T. PI TRUST	T7761	Mulligan, Tim	0.72%	1,695
	OBERLANDER, C. PI TRUST	T8139	Oberlander, Cyril	0.35%	815
	O'DOWD, A. PI TRUST	T7936	O'Dowd, Alison	0.35%	831
	O'NEILL, M. PI TRUST	T8057	O'Neil, Marissa	0.02%	50
	ORTEGA, J. PI TRUST	T8051	Ortega, Justus	0.08%	191
		NEW	Patino, May	0.02%	57
	REISS, J. PI TRUST	T7879	Reiss, John	0.14%	328
	SHERRIFF, R. PI TRUST	T7921	Sherriff, Rosemary	1.01%	2,393
	SPROWLES, A. PI TRUST	T8050	Sprowles, Amy	0.02%	50
	STEWART, C. PI TRUST	T7906	Stewart, Connie	3.74%	8,822
	STUBBLEFIELD, A. PI TRUST	T7492	Stubblefield, Andrew	0.02%	50
	SZYKMAN-GUNTHER, M. PI TRUST	T8023	Szykman Gunther, Micaela	0.10%	231
	TILL, C. PI TRUST	T8088	Till, Claire	0.09%	201
	TISSOT, B. PI TRUST	T8022	Tissot, Brian	2.54%	5,990
	WARD, D. PI TRUST	T7930	Ward, Darren	1.21%	2,859
		NEW	You, Hyun-Kyung	0.50%	1,175

SPONSORED PROGRAMS FOUNDATION
ANNUAL REPORT OF INDIRECT COST RECOVERY EXPENDITURES

Fiscal Year 2021-2022

Office of the President

Dr. Tom Jackson, Jr, Cal Poly Humboldt President

The President's Research Trust received \$76,186 from indirect cost recovery in Fall 2021. Of that amount, \$4,500 was used for the McCrone Promising Faculty Scholars Awards, an annual award to recognize Cal Poly Humboldt's newer faculty, to further their research, scholarship, and creative activities. Previous IDC revenue funds also were used to further the work of the Initiatives Grant Writing Team.

The Level 3 distribution of \$27,480 earned from grants under the California Center for Rural Policy (CCRP) was directed back to their trust, under the leadership of Cal Poly Humboldt Executive Director of Initiatives, Connie Stewart, and Executive Director of CCRP, Dawn Arledge. These funds were used to support ongoing CCRP research and their work with regional health care CEO's and community groups on health care and human services workforce challenges in Humboldt County. Funds were also used for staff professional development, with a focus on report writing.

Details of Expenditures: Funds were used to provide convening, facilitation, and research support for the North Coast Health Leadership Team, which is composed of 14 healthcare and human services organizations from across Humboldt and Del Norte counties. The workgroup helped Cal Poly Humboldt obtain critical health care funding. This included a grant from the Vesper Society to help create behavioral health pathways programs with a curriculum for the course that meets the UC/CSU A-G entry requirements for 54 local high school seniors this year from South Fork, Hoopa Valley, Northern United Humboldt Charter School, and Zoe Barnham.

Funds also went to support a coordinator position for the Humboldt Food Policy Council which is leading efforts to understand challenges of food production and distribution in Humboldt County.

Additionally, funds were used to advocate for the State of California to earmark \$10 million for College of the Redwoods to invest in a partnership with Cal Poly Humboldt to create a Healthcare Education Hub that will become the epicenter of healthcare career training on the North Coast.

The balance of the 2021 distribution from IDC Revenue, combined with accumulated IDC Revenue from the President's Research Trust went towards \$115,000 of funding to support the Initiatives Grant Writing Team. Dr. Mary Gonzalez, the former Associate Vice President for Student Access at Texas A&M University-Kingsville, was hired to grow the number of projects which Cal Poly Humboldt receives through the National Science Foundation, U.S. Department of Education, and other Federal funders. Dr. Gonzales successfully assisted the University in receiving more than \$19 million dollars this year in external funding.

Office of Research & Sponsored Programs

Kacie Flynn, Executive Director

The Office of Research & Sponsored Programs (ORSP) received \$309,940 from indirect cost recovery in Fall 2021. Funds were allocated per the policy in two allotments: Level 2 to the Office of Research (\$138,812) and Level 3 to ORSP (\$171,128), due to awards of individuals reporting to this office.

A total of \$100,000 was used to establish a centrally pooled campus account so that Principal Investigators could leverage 'placeholder cash matching funds' for external grant proposals, expanding opportunities for new project submissions, and increasing the number of Principal Investigators. The Sponsored Programs Foundation established the Strategic Matching Trust Fund along with additional committed funds from University Advancement.

A total of \$50,971 was used to support student wages for both undergraduate and graduate students working with various sponsored programs. This included 11 student interns placed in multiple Small Business Development Centers across the state, as well as two student interns in the Cal Poly Humboldt Library Special Collections working on the, 'Oliner Altruism Research Archive' in support of the institute's 40th Anniversary Celebration.

Another \$40,000 was allocated to fund the second year of the SPF Board's new 'Research and Creative Projects for Equity and Justice' initiative. This internal funding opportunity supports research and scholarship that benefits historically marginalized communities served by Cal Poly Humboldt, with a focus on black, indigenous, and other people of color (BIPOC).

A total of \$5,452 was leveraged to support research related publications and page charges for both student researchers as well as Principal Investigators.

\$1,156 was used to support Enrollment Management programs by co-funding their Council for Opportunity in Education (COE) institutional membership.

An additional \$16,100 was allocated to faculty members and students as seed money for small projects not funded through available RSCA funding, as well as the Marine Science Graduate Student Research Mini-Grants. *Details of allotments available upon request.*

Academic Affairs

Dr. Jenn Capps, Provost/Vice President Academic Affairs

Academic Affairs received an allocation of \$1,358 from indirect cost recovery in Fall 2021. During the 21-22 period there were expenditures in support of student research activities for a total of \$1,619.58. There was sufficient available funding to cover this total.

College of Arts, Humanities, & Social Sciences

Dr. Jeff Crane, Dean

The College of Arts, Humanities and Social Sciences received \$22,279, from indirect cost recovery in Fall 2021 which added to a previous unallocated balance for a new total of \$62,795. During Fiscal Year 2021-22, CAHSS used \$7,500 to support translating and publishing Sarah Jaquette Ray's book, *A Field Guide to Climate Anxiety: How to Keep Your Cool on a Warming Planet* (UC Press, 2020). An additional \$12,000 was allocated to faculty members to support them in participating in a summer grant writing workshop with SPF.

College of Natural Resources and Sciences

Dr. Eric Riggs, Dean

The College of Natural Resources and Sciences received a distribution of \$165,133 from indirect cost recovery in Fall 2021, which added to a previous unallocated balance for a new total of \$289,792. This funding placed CNRS in a position to fund several initiatives to support college research programs and initiatives.

Approximately \$150,667 was allocated to fund commitments in this period. \$71,710 was allocated to fund remaining faculty startup activity. Funding was allocated to support the recruitment of temporary faculty by providing research support. \$4,569 was allocated for a graduate student research assistant in the Department of Forestry and Wildland and \$1,787 was for direct support of a temporary faculty member. A large portion of the year's expenditures went to service contracts, equipment and supply costs directly related to research activity. \$37,917 supported the contract to maintain the Scanning Electron Microscope as a college resource. \$21,586 was allocated to maintain service on the CT Scanner in Biology. \$4,782 went to support the repair of a carbon analyzer in Chemistry. \$3,199 was allocated to an emergency purchase to obtain a rotary pump for a program in Geology. \$318 went to support faculty software and hardware acquisitions. Other expenses included \$1,482 to support memberships in societies, \$3,017 for publication charges, and \$300 for faculty training opportunities. Overall, in 2021-2022 the College was able to support and develop a variety of activities around faculty research, while maintaining a healthy funding balance for the future.

College of Professional Studies

Dr. Shawna Young, Dean

The College of Professional Studies (CPS) received \$10,279.34 from indirect cost recovery in Fall 2021 and spent \$4,965.46 on two computers and equipment for faculty research. The remaining \$5,313.88 is being carried forward and is allocated to help fund faculty participation in the co-sponsored SPF-led CPS Grant Writing Workshop Series this year.

Student Affairs/Enrollment Management

Sherie Gordon, Vice President for Administration & Finance

The Office of the Vice President of Enrollment Management received an allocation of \$283 from indirect cost recovery in Fall 2021, which added to a previous unallocated balance for a new total of \$1,624 which was spent on Project Rebound to supplement outreach programming activity.

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2020
Based on Effective Rate

\$657,052

Percent of Spending

Potential Distribution

\$ 656,822

Level	Percentage	Description	Percent of Spending	Amount
Level One	5%	to HSU President's Trust T8041		32,841
		Remaining Balance		623,981
Level Two	15%	of Remaining Balance to Research & Spons Prog T7003		93,597
		Remaining Balance		530,384
Level Three	50%	of Remaining Balance to Colleges		265,192
Academic Affairs Res. Trust	T8010	ACADEMIC AFFAIRS	0.62%	1,652
CAHSS Research Trust	T7720	COLLEGE OF ARTS, HUM, - SS	5.04%	13,372
CNRS Research Trust	T7006	COLLEGE OF NAT RES. - SCIENCES	55.11%	146,151
CPS Research Trust	T7951	COLLEGE OF PROF STUDIES	0.82%	2,166
HSU President's Trust	T8041	PRESIDENT	5.27%	13,974
Enrollment Management Trust	T8016	ENROLLMENT MANAGEMENT	1.07%	2,830
Research & Spons Prog Trust	T7003	OFFICE OF RESEARCH	32.07%	85,046
	20%	of Remaining Balance to Departments		106,171
Anthropology Research Trust	T7940	ANTHROPOLOGY	4.58%	4,858
Biological Sciences Research Trust	T7932	BIOLOGICAL SCIENCES	1.62%	1,725
Chemistry Research Trust	T7609	CHEMISTRY	0.38%	408
	NEW	COMPUTER SCIENCE	0.06%	65
Economics Research Trust	T7960	ECONOMICS	0.05%	50
Engineering Research Trust	T7722	ENGINEERING	13.96%	14,822
Environmental Science & Mgmt Research Trust	T7532	ENVIRONMENTAL SCIENCE - MGMT	4.36%	4,627
Fisheries Research Trust	T7943	FISHERIES BIOLOGY	5.22%	5,539
Forestry & Wildland Research Trust	T7716	FORESTRY - WILDLAND RESOURCES	1.88%	1,996
Geography Research Trust	T7695	GEOG.,ENVI - SPATIAL ANALYSIS	0.15%	157
Geology Research Trust	T7627	GEOLOGY	1.84%	1,950
INRSEP Research Trust	T7547	IND NAT RES, SCI-ENG PR	0.16%	174
ITEPP Research Trust	T8101	INDIAN TEACHER - ED PERS PR	0.05%	55
Kinesiology & Rec Research Trust	T8056	KINESIOLOGY - RECREATION ADMIN	0.61%	646
Library Research Trust	T8073	LIBRARY DEAN	0.62%	661
Marine Facilities Research Trust	T8035	MARINE FACILITIES	0.87%	926
Research Ancill Support Trust	T7953	NATURAL RES ANCILL SUPP	4.24%	4,503
Oceanography Research Trust	T7686	OCEANOGRAPHY	0.80%	855
Off of Econ Comm Business DVLP	T7494	OFFICE OF ECON,COMM - BUS.DEV.	32.04%	34,019
Physics Research Trust	T7783	PHYSICS - ASTRONOMY	0.55%	579
HSU President's Trust	T8041	PRESIDENT'S OFFICE	2.63%	2,795
Social Work Research Trust	T7624	SOCIAL WORK	0.20%	215
Sociology Research Trust	T7625	SOCIOLOGY	0.31%	333
Health-Wellbeing Research Trust	T8065	STUDENT MEDICAL SERVICES	1.01%	1,077
Wildlife Research Trust	T7692	WILDLIFE MANAGEMENT	4.70%	4,990
River Institute Trust	T8005	RIVER INSTITUTE	0.99%	1,054
Marine & Coastal Science Trust	T8006	HUMBOLDT MARINE & COASTAL SCIENCES INSTITUTE	1.85%	1,967
SERC Residual Trust	T7467	SCHATZ ENERGY RESEARCH CENTER	11.56%	12,275
Cost Center - CCRP Trust	T7444	CALIFORNIA CENTER FOR RURAL POLICY	2.63%	2,795
Instit. Spatial Analysis	T7996	INSTITUTE FOR SPATIAL ANALYSIS	0.05%	55
	30%	of Remaining Balance to PI Trust Funds		159,251
ABELL, J PI TRUST	T8036	Abell, Jeffrey	1.61%	2,563
ADMIRE, A PI TRUST	T8074	Admire, Amanda	0.07%	104
ALSTONE, P PI TRUST	T8080	Alstone, Peter	0.41%	646
	NEW	Angeloff, Nicolas	0.30%	478
	NEW	Barriquand, Tamara	0.06%	103
BARTON, D PI TRUST	T8030	Barton, Daniel	0.15%	246
BASTON, D PI TRUST	T8096	Baston, David	0.06%	89
BEAN, T PI TRUST	T8032	Bean, Tim	0.63%	1,006
BERRILL, J PI TRUST	T7796	Berrill, John-Pascal	0.30%	476
BROWN, R PI TRUST	T7711	Brown, Richard	0.23%	364
BUCHHEISTER, A PI TRUST	T8083	Buchheister, Andre	0.35%	563
	NEW	Bueno Watts, Nievita	0.16%	260

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2020
Based on Effective Rate

\$657,052

Percent of Spending

Potential Distribution

\$ 656,822

	NEW	Byrd, Renee	0.31%	500
BYRNE, K PI TRUST	T8092	Byrne, Kerry	0.23%	373
	NEW	Carter, Adam	0.06%	98
CASHMAN, E PI TRUST	T8091	Cashman, Eileen	0.05%	76
CASHMAN, S PI TRUST	T7925	Cashman, Susan	0.19%	307
CLUCAS, B PI TRUST	T8068	Clucas, Barbara	0.03%	51
COLEGROVE-RAYMOND, A PI TRUST	T8100	Colegrove-Raymond, Adrienne	0.05%	83
COLWELL, M PI TRUST	T7913	Colwell, Mark	0.45%	712
CORTES-RINCON, M PI TRUST	T8018	Cortes-Rincon, Marisol	4.28%	6,809
CRAIG, S PI TRUST	T7980	Craig, Sean	0.49%	779
CUEVAS URIBE, R PI TRUST	T8114	Cuevas Uribe, Rafael	0.03%	50
DENGLER, L PI TRUST	T7942	Dengler, Lori	0.14%	228
DUNK, J PI TRUST	T7914	Dunk, Jeff	0.57%	913
ESCHKER, E PI TRUST	T7916	Eschker, Erick	0.03%	50
FINGERMAN, K PI TRUST	T8059	Fingerman, Kevin	2.64%	4,207
FINNEY, B PI TRUST	T7924	Finney, Brad	1.01%	1,608
GOLEY, D PI TRUST	T7751	Goley, Dawn	0.40%	632
GOLIGHTLY, R PI TRUST	T7735	Golightly, Rick	2.51%	4,003
GRAHAM, J PI TRUST	T8067	Graham, Jim	0.19%	297
HENDERSON, M PI TRUST	T8069	Henderson, Mark	5.01%	7,978
HENKEL, T PI TRUST	T7944	Henkel, Terry	0.65%	1,040
HOPPER, C PI TRUST	T7945	Hopper, Chris	0.03%	50
HOYLE, C PI TRUST	T7918	Hoyle, CD	0.55%	869
HURST, M PI TRUST	T7002	Hurst, Matthew	0.06%	100
JACOBSON, A PI TRUST	T7482	Jacobson, Arne	10.13%	16,136
JOHNSON, K PI TRUST	T7458	Johnson, Kristin	32.04%	51,028
JOHNSON, M PI TRUST	T7931	Johnson, Matthew	0.05%	85
JULES, E PI TRUST	T7877	Jules, Erik	0.46%	729
KANE, J PI TRUST	T8031	Kane, Jeff	0.27%	422
KELLY, E PI TRUST	T8028	Kelly, Erin	0.16%	254
KELSEY, H PI TRUST	T7902	Kelsey, Harvey	0.18%	292
KERHOULAS, L PI TRUST	T8047	Kerhoulas, Lucy	0.46%	729
	NEW	Kiernec-Tyburczy, Karen	0.04%	60
KINZIGER, A PI TRUST	T7990	Kinziger, Andrew	0.66%	1,050
LAM, N PI TRUST	T8113	Lam, Nick	1.34%	2,129
LANG, M PI TRUST	T7890	Lang, Margaret	1.45%	2,313
LEHMAN, P PI TRUST	T7757	Lehman, Peter	8.50%	13,530
MAGUIRE, J PI TRUST	T8043	Maguire, Jennifer	0.20%	322
	NEW	Marin-Jarrin, Jose	0.88%	1,403
MARSHALL, S PI TRUST	T7919	Marshall, Susan	0.06%	88
MARTIN, S PI TRUST	T7905	Martin, Steve	1.97%	3,136
MESLER, M PI TRUST	T7948	Mesler, Michael	0.06%	93
MICHALAK, M PI TRUST	T8120	Michalak, Melanie	0.78%	1,245
MISTLER, B PI TRUST	T8094	Mistler, Brian	1.01%	1,615
MULLIGAN, T PI TRUST	T7761	Mulligan, Tim	0.86%	1,371
	NEW	Oberlander, Cyril	0.62%	991
O'DOWD, A. PI TRUST	T7936	O'Dowd, Alison	1.36%	2,163
ORTEGA, J PI TRUST	T8051	Ortega, Justus	0.60%	950
O'SHEA, D PI TRUST	T8119	O'Shea, Daniel	0.41%	646
	NEW	Pawlowksi, Jill	0.03%	50
RAMOS, S PI TRUST	T8090	Ramos, Seafha	0.38%	611
RICHMOND, L PI TRUST	T8061	Richmond, Laurie	0.12%	197
SHERRIFF, R PI TRUST	T7921	Sherriff, Rosemary	0.61%	965
STEWART, C PI TRUST	T7906	Stewart, Connie	5.27%	8,385
STUBBLEFIELD, A TRUST	T7492	Stubblefield, Andrew	0.08%	123
SZEWCZAK, J PI TRUST	T7989	Szewczak, Joe	0.03%	50
SZYKMAN-GUNTHER, M PI TRUST	T8023	Szykman Gunther, Micaela	0.23%	364
TILL, C PI TRUST	T8088	Till, Claire	0.32%	511
TISSOT, B PI TRUST	T8022	Tissot, Brian	1.26%	1,999
TRUSH, W PI TRUST	T8042	Trush, William	1.02%	1,626
WARD, D PI TRUST	T7930	Ward, Darren	1.81%	2,881

HUMBOLDT STATE UNIVERSITY SPONSORED PROGRAMS FOUNDATION
ANNUAL REPORT OF INDIRECT COST RECOVERY EXPENDITURES

Fiscal Year 2020-2021

Office of the President

Dr. Tom Jackson, Jr, HSU President

The President's Research Trust received \$49,610 total in fiscal year 2020-21 from the Sponsored Programs Foundation from otherwise undistributed recovered Indirect Costs (IDC). \$4,500 was used for the McCrone Promising Faculty Scholars Awards, an annual award to recognize HSU's newer faculty, and encourage their continued achievement.

The Level 3 distribution of \$16,769 earned from grants under the California Center for Rural Policy (CCRP) was directed back to their trust. CCRP's focus over the year included research and work with regional health care CEOs on health care and human services, COVID-19 issues, and workforce challenges in Humboldt County.

CCRP continues to provide convening, facilitation, and research support for a Humboldt County workgroup, composed of twelve healthcare and human services organizations from across the county. Over the year, the workgroup met monthly and helped HSU obtain critical health care funding and grants supporting work:

- To further Behavioral Health education for high school students and help create a new trauma informed care certificate program at HSU.
- To help regional Tribes conduct Health and Education Needs Assessments.
- To advocate for \$2.5 million for HSU and \$500,000 for CR to increase the pipeline of nursing students.

CCRP also used funds to support a coordinator position for the Humboldt Food Policy Council which is leading efforts to understand challenges of food production and distribution in Humboldt County and identifying ways our community can work together to solve some of these challenges. CCRP hosts the Council, a network of grocers, farmers, social services, public health, hospitals, economic developers, food advocates, food pantries and elected officials. The Council meets monthly, conducting community education opportunities on food access and food system activities in Humboldt County.

The balance of the 2020 distribution from IDC Revenue, combined with accumulated IDC Revenue from the President's Research Trust went towards \$115,000 of funding to support the Initiatives Grant Writing Team. Dr. Mary Gonzalez, the former Associate Vice President for Student Access at Texas A&M University-Kingsville, was hired to grow the number of projects HSU receives through the National Science Foundation, U.S. Department of Education, and other federal funders. Dr. Gonzales is an expert in receiving grant funds for projects such as Upward Bound, Upward Bound Math and Science, Educational Opportunity Center, Ronald E. McNair Postbaccalaureate

Achievement Program, Student Support Services (Regular and STEM), and Talent Search. She plays a key role in the development and implementation of the university's strategic vision for research and scholarship across the university.

Office of Research & Sponsored Programs

Kacie Flynn, Executive Director

The Office of Research & Sponsored Programs (ORSP) received an allocation of \$178,643 from Indirect Cost Recovery in the Fall of 2020. Funds were allocated per the policy in two allotments: Level 2 to the Office of Research (\$93,597) and Level 3 to ORSP (\$85,046) due to awards of individuals reporting to this office.

A total of \$34,800 was used to support student wages for both undergraduate and graduate students working with various sponsored programs. This included 3 student interns from the Environmental Education and Interpretation program that participated in an 8-week internship with the Six Rivers National Forest, creating the interpretive program materials that accompanied the US Capitol Christmas Tree (USCCT) to Washington D.C. Additionally it supported 15 student interns placed with multiple Small Business Developed centers across the state.

\$40,000 was allocated to fund the pilot year of the SPF Board's new 'Research and Creative Projects for Equity and Justice' initiative. This internal funding opportunity will support research and scholarship that benefits historically marginalized communities served by Humboldt State University, with a focus on black, indigenous, and other people of color (BIPOC). This fund is focused on supporting research, scholarship, and creative activities that benefit BIPOC communities in our region, including direct support for faculty and students at HSU who participate in research, scholarship, and creative activities.

\$17,327 was used to support research grants that experienced Covid related budgeting challenges, including the need for additional rental vehicles (increased travel costs per participant) personal protective equipment (PPE) for laboratory restarts, and other unforeseen overages not budgeted for.

A total of \$4,860 was leveraged to support research related publications and page charges for both student researchers as well as Principal Investigators.

\$8,106 was used to support Enrollment Management programs by co-funding their Council for Opportunity in Education (COE) institutional membership, as well as supporting TRiO Upward Bound's relocation expenses moving from Siemens Hall to Gist Hall.

We invested \$2,596 in the College of Professional Studies to support Chris Hopper's Adapted PE Project with the purchase of 'Quickie All Court Sports Wheelchair' which will be available to Kinesiology Department students who are preparing to work with/teach Physical Education to students with mental and physical handicaps. This wheelchair is one that allows a wheelchair user to participate in competitive sports.

An additional \$85,578 was allocated to multiple faculty members and students as seed money for small projects not funded through available RSCA funding, proposal matching funds, grant writing support, sponsoring workshops/campus events (The Big Read & HSU Author's Festival), etc. *Details of allotments available upon request.*

Academic Affairs

Dr. Jenn Capps, Provost/Vice President Academic Affairs

Academic Affairs received an allocation of \$1,652 from indirect cost recovery in Fall 2020. No expenditures were made during 20/21. This balance will be saved for future research investments.

College of Arts, Humanities, & Social Sciences

Dr. Rosamel Benavides-Garb, Interim Dean

The College of Arts, Humanities, & Social Sciences received a distribution of \$13,372 which added to previous unallocated balance for a new total of \$40,516. CAHSS is planning to fund additional assigned time for the Cultural Resources Facilities (CRF), supporting 1.5 WTU in spring 2022 and 3 WTUs throughout Academic Year 2022-23. Any outstanding balance will be dedicated to support faculty and student research initiatives within the college.

College of Natural Resources and Sciences

Dr. Eric Riggs, Dean

The College of Natural Resources and Sciences received a distribution of \$146,151 as Indirect Cost Recovery in the 2020-2021. There was a starting balance of about \$140,352. This funding placed CNRS in a position to fund outstanding commitments to faculty startup funds as well as meet other strategic goals for college research programs and initiatives.

\$144,000 was distributed to fund commitments to new faculty research startup. \$891.87 was used to fund commitments to new lecturer (Kami Larripa) research space in Mathematics. \$124.00 was to support Ruth Saunders for conference registration. \$1,071.98 was used to purchase a drone for research projects in Environmental Science and Management. \$1,511.89 for the purchase of trail cameras in Wildlife Management for Daniel Barton as part of funded research project. \$17,765.75 for facilities upgrades in faculty research labs. \$250.00 was used to provide secure digital storage of sensitive research data for Seafha Ramos in Wildlife Management. \$20,709.96 was for maintenance costs for the Scanning Electron Microscope (SEM) in Biology. \$750 was for faculty travel awards. And \$433.29 was to pay a lecturer for additional research work in Biology. The total expenditures for 20-21 were \$187,508.74.

College of Professional Studies

Dr. Shawna Young, Dean

The College of Professional Studies (CPS) received an allocation of \$2,166 from Indirect Cost Recovery in the Fall of 2020. Along with prior years' unexpended funds, CPS was able to purchase a research computer and accessories for a newly hired faculty in the School of Education. The computer will be used by the professor for their research.

Student Affairs/Enrollment Management

Dr. Jason Meriwether, Vice President of Enrollment Management

The Office of the Vice President of Enrollment Management received an allocation of \$2,830.00 from indirect cost recovery in Fall 2020. \$29.27 was allocated to support supplies and services charged (as the default) to T8016 from an unspecified EM SPF ProCard. \$2,783.80 was allocated to TRiO Upward Bound to cover office relocation expenses. Upward Bound (UB) moved from Siemens Hall to Gist Hall.

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2019
Based on Effective Rate

1,067,876

Percent of Spending

1,067,876

Potential Distribution

Level	Percentage	Description	Percent of Spending	Amount
Level One	5%	to HSU President's Trust T8041		53,394
		Remaining Balance		1,014,482
Level Two	15%	of Remaining Balance to Office of Research & Sponsored Programs Trust 7003		152,172
		Remaining Balance		862,310
Level Three	50%	of Remaining Balance to Colleges		431,155
Academic Affairs Res. Trust	T8010	ACADEMIC AFFAIRS	0.14%	595
CAHSS Research Trust	T7720	COLLEGE OF ARTS, HUM, - SS	3.03%	13,047
CNRS Research Trust	T7006	COLLEGE OF NAT RES. - SCIENCES	57.09%	246,166
CPS Research Trust	T7951	COLLEGE OF PROF STUDIES	3.42%	14,737
HSU President's Trust	T8041	PRESIDENT	7.14%	30,778
Research & Sponsored Programs Trust	T7003	OFFICE OF RESEARCH	28.26%	121,825
KHSU Research Trust	T8093	UNIVERSITY ADVANCEMENT	0.14%	591
Student Affairs Research Trust	T8016	ENROLLMENT MANAGEMENT	0.79%	3,416
	20%	of Remaining Balance to Departments		173,505
Anthropology Research Trust	T7940	ANTHROPOLOGY	2.73%	4,742
Biological Sciences Research Trust	T7932	BIOLOGICAL SCIENCES	3.24%	5,614
Chemistry Research Trust	T7609	CHEMISTRY	0.27%	471
Child Development Research Trust	T8087	CHILD DEVELOPMENT	0.99%	1,716
Economics Research Trust	T7960	ECONOMICS	1.14%	1,973
Engineering Research Trust	T7722	ENGINEERING	11.33%	19,665
Environmental Science & Management Research Trust	T7532	ENVIRONMENTAL SCIENCE - MGMT	5.82%	10,091
Fisheries Research Trust	T7943	FISHERIES BIOLOGY	3.77%	6,536
Forestry & Wildland Research Trust	T7716	FORESTRY - WILDLAND RESOURCES	2.51%	4,350
Geography Research Trust	T7695	GEOGRAPHY	0.38%	663
Geology Research Trust	T7627	GEOLOGY	2.13%	3,691
INRSEP Research Trust	T7547	IND NAT RES, SCI-ENG PR	0.05%	80
ITEPP Research Trust	T8101	INDIAN TEACHER - ED PERS PR	0.10%	167
KHSU Research Trust	T8093	KHSU - FM PUBLIC RADIO	0.34%	592
Kinesiology & Rec Research Trust	T8056	KINESIOLOGY - RECREATION ADMIN	0.67%	1,163
Library Research Trust	T8073	LIBRARY DEAN	0.14%	238
Marine Facilities Research Trust	T8035	MARINE FACILITIES	0.83%	1,436
Research Ancill Support Trust	T7953	NATURAL RES ANCILL SUPP	5.06%	8,779
Oceanography Research Trust	T7686	OCEANOGRAPHY	0.21%	370
Off of Econ Comm Business DVL	T7494	OFFICE OF ECON, COMM - BUS.DEV.	28.09%	48,729
Physics Research Trust	T7783	PHYSICS - ASTRONOMY	0.84%	1,451
HSU President's Trust	T8041	PRESIDENT'S OFFICE	3.55%	6,156
Social Work Research Trust	T7624	SOCIAL WORK	0.51%	884
Health-Wellbeing Research Trust	T8065	STUDENT MEDICAL SERVICES	0.69%	1,200
Wildlife Research Trust	T7692	WILDLIFE MANAGEMENT	7.19%	12,474
River Institute Trust	T8005	RIVER INSTITUTE	1.70%	2,946
Marine & Coastal Science Trust	T8006	HUMBOLDT MARINE & COASTAL SCIENCES INSTITUTE	1.63%	2,820
Earthquake Center Trust	T7723	HUMBOLDT EARTHQUAKE EDUCATION CENTER	0.32%	556
SERC Residual Trust	T7467	SCHATZ ENERGY RESEARCH CENTER	10.06%	17,446
Cost Center - CCRP Trust	T7444	CALIFORNIA CENTER FOR RURAL POLICY	3.55%	6,155
Instit. Spatial Analysis	T7996	INSTITUTE FOR SPATIAL ANALYSIS	0.11%	192
HIIMR Research Trust	T8071	HUMBOLDT INSTITUTE FOR INTERDISCIPLINARY MARIJUANA RESEARCH	0.09%	159
	30%	of Remaining Balance to PI Trust Funds		257,650
ABARCA, C. - PI TRUST	T8058	Abarca, Cesar	0.02%	56
ADMIRE, A. PI TRUST	T8074	Admire, Amanda	0.14%	372
ALSTONE, P - PI TRUST	T8080	Alstone, Peter	1.12%	2,894
BARTON, D. PI TRUST	T8030	Barton, Daniel	0.67%	1,721
BASTON, D PI TRUST	T8096	Baston, David	0.09%	224

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2019
Based on Effective Rate

1,067,876

Percent of Spending

Potential Distribution

1,067,876

			Percent of Spending	
BEAN, T. P.I. TRUST	T8032	Bean, Tim	0.98%	2,532
BEGAY, K PI TRUST	T8095	Begay, Kayla	0.07%	179
BERRILL P.I. TRUST	T7796	Berrill, John-Pascal	0.57%	1,480
BORGELD, J PI TRUST	T7750	Borgeld,Jeffry	0.30%	772
BROWN P.I. TRUST	T7711	Brown, Richard	0.24%	613
BUCHHEISTER, A. - PI TRUST	T8083	Buchheister, Andre	0.12%	314
BUENO WATTS, N. - PI TRUST	NEW	Bueno Watts, Nievita	0.05%	120
BYRNE, K PI TRUST	T8092	Byrne, Kerry	0.23%	587
CASHMAN, E PI TRUST	T8091	Cashman, Eileen	0.08%	199
COLEGROVE-RAYMOND, A PI TRUST	T8100	Colegrove-Raymond,Adrienne	0.10%	250
COLWELL PI TRUST	T7913	Colwell,Mark	0.95%	2,454
CORTES-RINCON, M.- PI TRUST	T8018	Cortes-Rincon, Marisol	2.58%	6,648
DENGLER, L PI TRUST	T7942	Dengler,Lori	0.91%	2,335
DUNK, J PI TRUST	T7914	Dunk,Jeff	0.43%	1,117
ESCHKER, E PI TRUST	T7916	Eschker,Erick	1.24%	3,198
FINGERMAN, K. - PI TRUST	T8059	Fingerman, Kevin	3.72%	9,581
FINNEY, B PI TRUST	T7924	Finney,Brad	0.52%	1,329
GOLEY, D PI TRUST	T7751	Goley,Dawn	0.36%	923
GOLIGHTLY, R PI TRUST	T7735	Golightly,Rick	3.49%	8,988
GRAHAM, J - PI TRUST	T8067	Graham, Jim	0.22%	576
HENDERSON, M. PI TRUST	T8069	Henderson, Mark	6.90%	17,786
HENKEL, T PI TRUST	T7944	Henkel, Terry	1.12%	2,880
HOYLE, C PI TRUST	T7918	Hoyle,CD	0.36%	922
JACOBSON, A PI TRUST	T7482	Jacobson,Arne	12.48%	32,141
JOHNSON, K. PI TRUST	T7458	Johnson, Kristin	28.38%	73,095
JOHNSON PI TRUST	T7931	Johnson,Matthew	0.05%	126
JULES PI TRUST	T7877	Jules, Erik	1.33%	3,426
KANE, J. PI TRUST	T8031	Kane, Jeff	0.67%	1,719
KELLY, E PI TRUST	T8028	Kelly, Erin	0.12%	314
KERHOULAS, L. PI TRUST	T8047	Kerhoulas, Lucy	0.08%	218
KINZIGER, A. - P.I. TRUST	T7990	Kinziger,Andrew	0.15%	394
LAM, N PI TRUST	T8113	Lam, Nick	0.19%	480
LEHMAN, P. - P.I. TRUST	T7757	Lehman,Peter	3.51%	9,043
MAGUIRE, J P.I. TRUST	T8043	Maguire, Jennifer	0.06%	166
MARINO, C. PI TRUST	T8072	Marino, Carly	0.07%	178
Marshall, S PI Trust	T7919	Marshall,Susan	0.37%	950
MARTIN, S. - P.I. TRUST	T7905	Martin,Steve	2.84%	7,328
MESLER, M PI TRUST	T7948	Mesler, Michael	0.12%	319
MICHALAK, M. - PI TRUST	NEW	Michalak, Melanie	0.71%	1,832
MISTLER, B PI TRUST	T8094	Mistler, Brian	0.70%	1,800
MULLIGAN, T. - P.I. TRUST	T7761	Mulligan,Tim	0.73%	1,886
O'DOWD, A. PI TRUST	T7936	O'Dowd, Alison	1.91%	4,933
ORTEGA, J. PI TRUST	T8051	Ortega, Justus	0.68%	1,744
OSHEA, D. - PI Trust	NEW	O'Shea, Daniel	0.71%	1,832
RAMOS, S PI TRUST	T8090	Ramos, Seafha	0.43%	1,114
RANA, M PI TRUST	T8089	Rana, Meenal	1.00%	2,574
RICHMOND, L PI TRUST	T8061	Richmond, Laurie	0.59%	1,519
SHERRIFF, R PI TRUST	T7921	Sherriff, Rosemary	0.47%	1,212
STEWART, C PI TRUST	T7906	Stewart,Connie	7.17%	18,467
A. STUBBLEFIELD RAA TRUST	T7492	Stubblefield, Andrew	0.34%	874
SWARTZ, R.- PI TRUST	T8019	Swartz, Ronnie	0.43%	1,105
SZEWCZAK, J PI TRUST	T7989	Szewczak,Joe	0.24%	607
SZYKMAN-GUNTHER, M.-PI TRUST	T8023	Szykman Gunther, Micaela	0.37%	947
TILL, C PI TRUST	T8088	Till, Claire	0.27%	707
TISSOT, B.- PI TRUST	T8022	Tissot, Brian	1.67%	4,308
TRUSH, W. PI TRUST	T8042	Trush, William	1.76%	4,543
WARD, D PI TRUST	T7930	Ward, Darren	1.62%	4,173
ZALD, H. - PI TRUST	NEW	Zald, Harold	0.20%	526

HUMBOLDT STATE UNIVERSITY SPONSORED PROGRAMS FOUNDATION
ANNUAL REPORT OF INDIRECT COST RECOVERY EXPENDITURES

Fiscal Year 2019-2020

Office of the President

Dr. Tom Jackson, Jr, HSU President

The President's Research Trust received \$90,328 total in fiscal year 2019-20 from the Sponsored Programs Foundation from otherwise undistributed recovered Indirect Costs (IDC). Of that amount, \$4,500 was used for the McCrone Promising Faculty Scholars Awards. These awards recognized three of HSU's newer faculty: Dr. Janelle Adsit, Department of English, Dr. Renée Byrd, Department of Sociology, and Dr. James Woglom, Department of Art. The McCrone Awards acknowledge their potential in their field of research and encourage their continued achievement. Each faculty member was awarded \$1,500 to further their research, scholarship, and creative activities.

A total of \$36,934 earned from Level 3 was directed to the California Center for Rural Policy (CCRP). The breakdown of the use of funds allocated to CCRP includes the following:

\$7,173 was used for research and program support for the Humboldt Food Policy Council who are working to increase food security in Humboldt County. Funding was used to pay a Coordinator, who coordinated efforts to connect the network of food providers in Humboldt County to increase capacity for distributing food due to the rising need from COVID 19.

\$3,729 was used to cover transportation and conference registration fees to attend conferences and convening related to rural policy. Time at conferences was educational and provided networking opportunities for rural policy work, and future funder connections.

\$651 was used as support for a press conference celebrating the receipt of \$300,000 from the Humboldt Area Foundation for the RN to BSN bridge endowment.

\$11,275 was spent purchasing computers and software for CCRP staff transitioning to working from home as a result of COVID 19. Tools for conducting research, analyzing data and working collaboratively were purchased to enable staff to continue working together seamlessly from remote locations.

Additionally, \$1,794 was spent on supporting staff training in GIS mapping. This will allow CCRP to make its own maps to show the impact of data on populations.

Office of Research & Sponsored Programs

Kacie Flynn, Interim Executive Director

The Office of Research & Sponsored Programs (ORSP) received an allocation of \$273,997 from Indirect Cost Recovery in the Fall of 2019. Funds were allocated per the policy in two allotments: Level 2 to the Office of Research (\$152,172) and Level 3 to ORSP (\$121,825) due to awards of individuals reporting to this office.

An allocation of \$16,636 was made for the joint purchase of a spectrofluorometer in the Chemistry Department; co-funded between SPF, faculty start-up funds, and the Advancement Chemistry Trust. An additional \$19,000 was provided to CNRS to partially offset four service contracts supporting major research instrumentation: Molecular Spec i3 plate reader, FEI – Quanta, X-ray Software for the Quanta, and Amira (FEI) - 3D modeling software.

\$20,000 was provided to Enrollment Management to support student wages related to the Office of the Registrar's digitalization project. Completing this initiative facilitated SPF's successful expansion of the SBS 4th floor, relocating the Pre-Award Development Offices. An additional \$13,190 was provided to Administrative Affairs to support facilities' refresh of the SBS 425 conference room, which included conversion to a 'smart room.'

A total of \$25,000 was provided to Anthropology to support CAHSS faculty and student researchers in three separate efforts: complete their final season of HSU's Bioarcheology Field School in Bezlawki, Poland while also leading the osteology aspect of a new program in Ryczyn; support equipment purchases for the Belize Archaeology field camp; expand paid student opportunities working through the Cultural Resources Facility.

\$20,315 was used as seed money for small projects not funded through available RSCA funding. These included Katia Karadjova's proposal, "*Brain Booth: Mindfulness and Contemplative Pedagogy in the Higher Education Classroom,*" and Jasper Oshun's proposal, "*Hydrogeology and water resource development in the Cusco Region,*" among others.

\$9,000 was used to help rebuild the boat stolen last year from the HSU Marine Mammal Stranding Program. \$7,000 was allocated to the Humboldt Marine & Coastal Science Institute in support of its 'Mini Grants program' to fund HSU Graduate Student research projects. \$4,000 was used to purchase additional whiteboards with the Library for use at the annual ideaFest Research Symposium.

An additional \$58,223 was allocated to multiple faculty members and students for conference attendance, lab equipment/renovation, travel, publication costs, sponsoring workshops and luncheons for campus research partners, and direct student wages. *Details of allotments available upon request.*

Academic Affairs

Dr. Jenn Capps, Provost/Vice President Academic Affairs

Academic Affairs received an allocation of \$595.00 from Indirect Cost Recovery in fall 2019. A transfer of \$119.51 was transferred to cover salaries & benefits for Fund N4658, Inclusive Teaching

for URM. As of November 30, 2020, the new balance is \$3,824.07 and there are no current plans set for 20/21.

College of Arts, Humanities, & Social Sciences

Dr. Rosamel Benavides-Garb, Interim Dean

The College of Arts, Humanities and Social Sciences (CAHSS) received an allocation of \$13,047 from Indirect Cost Recovery in the fall of 2019. We are grateful to our faculty who are so successfully engaging in the grant and contract work that raises the profile of our college and university. And we thank the folks in Sponsored Programs Foundation for their ongoing partnership in this work. We also received additional Indirect Cost Recovery revenue from fiscal year 2018/19 in fiscal year 2019/20 and we utilized some remaining balance in the account. We deployed these funds to benefit students and faculty in these ways:

\$20,775 in small grants/scholarships were awarded to students to support faculty/student research and creative partnerships. In 2019/20 there were 64 students, 21 projects, and ten departments participating in the CAHSS Undergraduate Research/Creative Works Fellowships, resulting in many research presentations on campus and at professional meetings regionally/nationally.

\$21,000 was transferred to SPF fund T7921, Sherriff, R PI Trust, to support student research partnerships as part of Professor Sherriff's National Science Foundation grant. This fulfills our two-year commitment total of \$21,000 in support of this grant.

\$2,500 was used to purchase a new computer for the Cultural Resources Facility, which is supported by SPF grant funding. CRF works in conjunction with the Department of Anthropology and contains research offices and the Archaeology Research Laboratory.

\$3,000 was used to support student participation in the CSU Summer Arts. <\$3,323> cost recovery (negative expense) was applied to negate the cost of salary paid from CAHSS trust from 2018/19 that was to be covered by SPF.

In 2019/20 we spent a total of \$47,275. This left a balance remaining of \$27,144. This balance will be used to fund student/faculty research partnerships and other research related needs in the college.

College of Natural Resources and Sciences

Dr. Dale Oliver, Dean

The College of Natural Resources and Sciences received a distribution of \$246,166 as Indirect Cost Recovery in the 2019-2020 academic year. Prior to distribution there was a fund balance of \$516,546 for a total annual available funding of \$762,712. This funding placed CNRS in a position for the first time in several years to have resources in excess of existing commitments, as well as the capacity to address other strategic goals for college research programs and initiatives.

With a restructuring of how we support research activity for new probationary faculty, a total of \$548,556 was distributed into 28 faculty specific K-Funds to fully fund commitments to faculty research startup activities for our current probationary faculty. Included in the 28 were the five CNRS Faculty who joined the university in Fall 2019.

\$14,855 was expended for 25 faculty to travel to attend and or present at conferences and professional meetings. Various improvements to faculty research spaces include those in Geology, Chemistry, Biology and the Core Facility for a total of \$4,735. Major renovations in Environmental Science and Management NR 108 helped to better facilitate faculty needs and research capacity for the two faculty who utilize this space. This totaled \$11,850.

IT and equipment related purchases for Fisheries, Wildlife and Physics research programs totaled \$7,430. \$2,018 was spent for faculty assistance with publication fees. Expenditures for annual service contracts for major instrumentation included \$27,981 for the scanning electron microscope and \$4,935 for partial coverage of the DNA sequencer in the Fisheries research lab. The total outlay of funding for 2019-2020 was \$623,609.

College of Professional Studies

Dr. Shawna Young, Dean

The College of Professional Studies (CPS) received an allocation of \$14,737 from Indirect Cost Recovery in the Fall of 2019. Along with prior years' unexpended funds, CPS was able to purchase research computers and accessories for newly hired and existing faculty in the Child Development Department, Economics Department, School of Education, Kinesiology and Recreation Administration Department, Psychology Department, and the Social Work Department. These computers will be used by professors for their research in the departments listed.

Student Affairs/Enrollment Management

Dr. Jason Meriwether, Vice President of Enrollment Management

The Office of the Vice President of Enrollment Management received an allocation of \$3,416, from indirect cost recovery in Fall 2019. \$482.37 was allocated to support supplies and programming charged to Rose Francia's ProCard for Talent Search (F3043 - TALENT SEARCH 16-21).

University Advancement

Frank Whitlatch, Vice President University Advancement

University Advancement received an allocation of \$591 from indirect cost recovery in Fall 2019. No expenditures were made during 19/20. This balance will be saved for anticipated technology investments.

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2018
Based on Effective Rate

\$1,201,425

Percent of Spending

Potential Distribution

\$ 1,201,262

Level	Percentage	Description	Percent of Spending	Amount
Level One	5%	to HSU President's Trust T8041		60,063
		Remaining Balance		1,141,199
Level Two	15%	of Remaining Balance to Office of Research & Sponsored Programs Trust T7003		171,180
		Remaining Balance		970,019
Level Three	50%	of Remaining Balance to Colleges		485,009
Academic Affairs Res. Trust	T8010	ACADEMIC AFFAIRS	0.27%	1,320
CAHSS Research Trust	T7720	COLLEGE OF ARTS, HUM, - SS	7.67%	37,180
CNRS Research Trust	T7006	COLLEGE OF NAT RES. - SCIENCES	64.92%	314,862
CPS Research Trust	T7951	COLLEGE OF PROF STUDIES	2.61%	12,646
Research & Sponsored Programs Trust	T7003	OFFICE OF RESEARCH	20.11%	97,546
HSU President's Trust	T8041	PRESIDENT	3.66%	17,736
Student Affairs Research Trust	T8016	STUDENT AFFAIRS	0.76%	3,693
NEW		UNIVERSITY ADVANCEMENT	0.01%	50
	20%	of Remaining Balance to Departments		194,531
Anthropology Research Trust	T7940	ANTHROPOLOGY	7.06%	13,728
Biological Sciences Research Trust	T7932	BIOLOGICAL SCIENCES	3.43%	6,672
Cost Center - CCRP Trust	T7444	CALIFORNIA CENTER FOR RURAL POLICY	1.70%	3,309
CASAE Trust	T7789	CENTER FOR APPLIED SOCIAL ANALYSIS AND EDUCATION	0.04%	87
Chemistry Research Trust	T7609	CHEMISTRY	0.17%	323
NEW		CHILD DEVELOPMENT	0.39%	749
Economics Research Trust	T7960	ECONOMICS	0.36%	708
Engineering Research Trust	T7722	ENGINEERING	12.29%	23,929
Environmental Science & Mgmt Research Trust	T7532	ENVIRONMENTAL SCIENCE - MGMT	4.41%	8,580
Fisheries Research Trust	T7943	FISHERIES BIOLOGY	2.43%	4,718
Forestry & Wildland Research Trust	T7716	FORESTRY - WILDLAND RESOURCES	2.53%	4,912
Geography Research Trust	T7695	GEOGRAPHY	0.37%	718
Geology Research Trust	T7627	GEOLOGY	0.55%	1,072
Earthquake Center Trust	T7723	HUMBOLDT EARTHQUAKE EDUCATION CENTER	0.24%	459
HIIMR Research Trust	T8071	HUMBOLDT INSTITUTE FOR INTERDISCIPLINARY MARIJUANA RESEARCH	0.23%	440
Marine & Coastal Science Trust	T8006	HUMBOLDT MARINE & COASTAL SCIENCES INSTITUTE	0.95%	1,841
NEW		INDIAN TEACHER - ED PERS PR	0.03%	60
Instit. Spatial Analysis	T7996	INSTITUTE FOR SPATIAL ANALYSIS, MODELING & MONITORING	0.03%	50
NEW		KHSU - FM PUBLIC RADIO	0.03%	50
Kinesiology & Rec Research Trust	T8056	KINESIOLOGY - RECREATION ADMIN	0.63%	1,218
Library Research Trust	T8073	LIBRARY DEAN	0.27%	528
Marine Facilities Research Trust	T8035	MARINE FACILITIES	1.94%	3,777
Research Ancill Support Trust	T7953	NATURAL RES ANCILL SUPP	0.06%	126
Oceanography Research Trust	T7686	OCEANOGRAPHY	0.81%	1,571
Off of Econ Comm Business DVLP	T7494	OFFICE OF ECON,COMM - BUS.DEV.	20.05%	39,017
Physics Research Trust	T7783	PHYSICS - ASTRONOMY	0.53%	1,030
HSU President's Trust	T8041	PRESIDENT'S OFFICE	1.95%	3,785
River Institute Trust	T8005	RIVER INSTITUTE	1.17%	2,268
SERC Residual Trust	T7467	SCHATZ ENERGY RESEARCH CENTER	11.82%	23,019
Social Work Research Trust	T7624	SOCIAL WORK	1.00%	1,943
Sociology Research Trust	T7625	SOCIOLOGY	0.04%	87
Health-Wellbeing Research Trust	T8065	STUDENT MEDICAL SERVICES	0.73%	1,418
Theatre, Film, Dance Research Trust	T8017	THEATRE, FILM AND DANCE	0.13%	252
Wildlife Research Trust	T7692	WILDLIFE MANAGEMENT	21.66%	42,160
	30%	of Remaining Balance to PI Trust Funds		290,479
ABELL, J. PI TRUST	T8036	Abell,Jeffrey	0.08%	234
ACHILLI, A.-PI TRUST	T8012	Achilli, Andrea	0.04%	130
ADMIRE, A. PI TRUST	T8074	Admire, Amanda	0.16%	460
ALSTONE, P - PI TRUST	T8080	Alstone, Peter	4.04%	11,735
BARTON, D. PI TRUST	T8030	Barton, Daniel	0.66%	1,906
NEW		Baston, David	0.03%	80
BEAN, T. P.I. TRUST	T8032	Bean, Tim	1.47%	4,262
NEW		Begay, Kayla	0.02%	50
BERRILL P.I. TRUST	T7796	Berrill, John-Pascal	0.79%	2,296
BJORKSTEDT, E. PI TRUST	T7456	Bjorkstedt, Eric	0.69%	2,004

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2018
Based on Effective Rate

\$1,201,425

Percent of Spending

Potential Distribution

\$ 1,201,262

Trust Name	Trust ID	Trustee Name	Percent of Spending	Amount
BORGELD, J PI TRUST	T7750	Borgeld,Jeffry	0.81%	2,356
BROWN, PI TRUST	T7711	Brown, Rick	0.28%	825
BUCHHEISTER, A. - PI TRUST	T8083	Buchheister, Andre	0.11%	327
	NEW	Byrne, Kerry	0.07%	201
CLUCAS, B. PI TRUST	NEW	Cashman, Eileen	0.02%	56
	T8068	Clucas, Barbara	5.99%	17,409
COLWELL PI TRUST	NEW	Colegrove-Raymond,Adrienne	0.03%	90
	T7913	Colwell,Mark	0.93%	2,701
DENGLER, L PI TRUST	T7942	Dengler,Lori	0.63%	1,836
DUNK, J PI TRUST	T7914	Dunk,Jeff	0.88%	2,552
ESCHKER, E PI TRUST	T7916	Eschker,Erick	0.59%	1,723
FINGERMAN, K. - PI TRUST	T8059	Fingerman, Kevin	2.66%	7,735
FINNEY, B PI TRUST	T7924	Finney,Brad	0.41%	1,185
	NEW	Fretwell, Peter	0.02%	50
GOLEY, D PI TRUST	T7751	Goley,Dawn	0.69%	1,996
GOLIGHTLY, R PI TRUST	T7735	Golightly,Rick	2.96%	8,611
GRAHAM, J - PI TRUST	T8067	Graham, Jim	0.02%	50
GREENE, D. - PI TRUST	T8060	Greene, David	0.27%	782
HENDERSON, M. PI TRUST	T8069	Henderson, Mark	0.60%	1,744
HENKEL, T PI TRUST	T7944	Henkel, Terry	1.02%	2,950
HOYLE, C PI TRUST	T7918	Hoyle,CD	0.53%	1,545
JACOBSON, A PI TRUST	T7482	Jacobson,Arne	14.86%	43,189
JOHNSON, K. PI TRUST	T7458	Johnson, Kristin	20.14%	58,529
JOHNSON PI TRUST	T7931	Johnson,Matthew	4.28%	12,447
JULES PI TRUST	T7877	Jules, Erik	1.42%	4,118
KANE, J. PI TRUST	T8031	Kane, Jeff	0.39%	1,140
KELLY, E PI TRUST	T8028	Kelly, Erin	0.03%	93
KINZIGER, A. - P.I. TRUST	T7990	Kinziger,Andrew	0.80%	2,324
LEHMAN, P. - P.I. TRUST	T7757	Lehman,Peter	2.20%	6,391
MAGUIRE, J P.I. TRUST	T8043	Maguire, Jennifer	0.03%	73
MARINO, C. PI TRUST	T8072	Marino, Carly	0.27%	773
MARSHALL, S PI Trust	T7919	Marshall,Susan	0.40%	1,162
MARTIN, S. - P.I. TRUST	T7905	Martin,Steve	2.22%	6,458
MARTINEK, C. PI TRUST	T8037	Martinek, Christina	0.04%	130
	NEW	Mistler, Brian	0.73%	2,126
MULLIGAN, T. - P.I. TRUST	T7761	Mulligan,Tim	0.92%	2,671
O'DOWD, A. PI TRUST	T7936	O'Dowd, Alison	1.14%	3,303
ORTEGA, J. PI TRUST	T8051	Ortega, Justus	0.63%	1,827
	NEW	Ramos, Seafha	0.33%	952
	NEW	Rana, Meenal	0.39%	1,124
RICHMOND, L PI TRUST	NEW	Rich, William	0.58%	1,698
	T8061	Richmond, Laurie	0.08%	231
ROSCOE P.I. TRUST	T7917	Roscoe,James	6.50%	18,895
SCHEERER, D PI TRUST	T7926	Scheerer, David	0.13%	378
SHERRIFF, R PI TRUST	T7921	Sherriff, Rosemary	0.37%	1,076
SPROWLES, A. PI TRUST	T8050	Sprowles, Amy	4.17%	12,114
STEWART, C PI TRUST	T7906	Stewart,Connie	3.66%	10,641
A. STUBBLEFIELD RAA TRUST	T7492	Stubblefield, Andrew	0.17%	497
SWARTZ, R. - PI TRUST	T8019	Swartz, Ronnie	0.98%	2,841
SZEWCAK, J PI TRUST	T7989	Szewczak,Joe	0.21%	614
SZYKMAN-GUNTHER, M.-PI TRUST	T8023	Szykman Gunther, Micaela	0.46%	1,342
	NEW	Till, Claire	0.17%	485
TISSOT, B.- PI TRUST	T8022	Tissot, Brian	1.67%	4,852
TRUSH, W. PI TRUST	T8042	Trush, William	1.21%	3,501
VIRNOCHE, M - PI TRUST	T8082	Virnoche, Mary	0.05%	131
WARD, D PI TRUST	T7930	Ward, Darren	0.82%	2,385
WILZBACH, P PI TRUST	T7813	Wilzbach,Peggy	0.05%	153

HUMBOLDT STATE UNIVERSITY SPONSORED PROGRAMS FOUNDATION
ANNUAL REPORT OF INDIRECT COST RECOVERY EXPENDITURES

Fiscal Year 2018-2019

Office of the President

Dr. Tom Jackson, Jr, HSU President

The President's Research Trust received \$81,584 total in fiscal year 2018-19 from the Sponsored Programs Foundation from otherwise undistributed recovered Indirect Costs (IDC). Of that amount, \$4,500 was used for the McCrone Promising Faculty Scholars Awards. These awards recognized three of HSU's newer faculty: Dr. Kerry Byrne, Department of Environmental Science & Management; Dr. John Steele, Department of Biological Sciences; and Dr. Lucy Kerhoulas, Department of Forestry & Wildland Resources. The McCrone Awards acknowledge their potential in their field of research and encourage their continued achievement. Faculty members were awarded \$1,500 to further their research, scholarship, and creative activities.

The remaining Level 1 amount of \$55,563 went towards a total of \$135,000 of IDC funds allocated from the President's Research Trust to Academic Affairs for new faculty research Start-Up Funds. This total included \$79,437 from prior year IDC funds.

A total of \$21,521 earned from Level 3 was directed to the California Center for Rural Policy (CCRP). This includes research and work with regional health care CEOs on health care and human services workforce challenges in Humboldt County. Of this, \$12,218 was used to support research on secondary data available in rural communities throughout California for use on the CCRP website. CCRP is positioning itself as a resource for rural communities throughout the state, and this data offers a one-stop-shop to help people understand poverty rates, health rankings, food insecurity rates, etc. for all rural communities in the state.

\$8,988 was used to support work to develop a strategy across multiple agencies for primary prevention of child abuse in Humboldt County. Work was done to facilitate networking and help build relationships among stakeholders, and to understand the array of prevention efforts and programs that currently exist locally and throughout the state.

CCRP hosts a monthly roundtable with healthcare CEO's and ED's in Humboldt County, and is deeply involved in the efforts to bring the RN to BSN nursing program to HSU. The amount of \$315 was used for hospitality at monthly meetings, and as support for a press conference celebrating the receipt of \$2,000,000 from St. Joseph Hospital for the RN to BSN bridge endowment.

Office of Research & Sponsored Programs

Kacie Flynn, Interim Executive Director

The Office of Research & Sponsored Programs (ORSP) received an allocation of \$268,726 from Indirect Cost Recovery in the Fall of 2018. Funds were allocated per policy in two allotments: Level 2 to the Office of Research (\$171,180) and Level 3 to ORSP (\$97,546) due to awards of individuals reporting to this office.

An allocation of \$19,000 was made to SERC for grant writing on two large proposals to the Department of Energy (DOE) Solar Energy Technologies Office related to, "*Streamlined and Scalable Solar+ for Resilient Commercial Buildings.*"

\$11,000 was given to support the Small Business Development Center's (SBDC) internship program. Funding goes towards the costs of employing 10-15 HSU students as interns at the SBDC site offices. An additional \$50,000 was allocated to offset one-time renovation expenses related to the newly leased HSU Facility, 'SBDC 3rd Street' in Old Town Eureka, CA.

\$34,300 was used as seed money for small projects not funded through available RSCA funding. These included Jen Maguire's proposal, "*Uncovering day-to-day barriers to safe and secure rural college student housing,*" and David Gwenzi's proposal "*A remote sensing approach for early detection of Black Bear damaged Redwood trees,*" among others.

\$1,030 was used to supplement poster-printing costs for HSU's 2019 ideaFest Research Symposium. An additional \$75,780 was allocated to multiple faculty members and students for conference attendance, lab equipment/renovation, travel, publication costs, sponsoring workshops and luncheons for campus research partners, and direct student wages. *Details of allotments available upon request.*

Academic Affairs

Dr. Alex Enyedi, Provost/Vice President Academic Affairs

Academic Affairs received an allocation of \$1,320 from Indirect Cost Recovery in Fall 2018.

\$1,000 was used to support faculty to travel and present scholarly research. As of November 26, 2019, the new balance is \$2,291.58, and there are no current plans set for FY 19/20.

College of Arts, Humanities, & Social Sciences

Dr. Lisa Bond-Maupin, Dean

The College of Arts, Humanities and Social Sciences (CAHSS) received an allocation of \$37,180 from Indirect Cost Recovery in the Fall of 2018. We are grateful to our faculty who are so successfully engaging in the grant and contract work that raises the profile of our college and university. Also, we thank the folks in Sponsored Programs Foundation for their ongoing partnership in this work. We deployed these funds to benefit students and faculty in these ways:

\$13,360 in small grants/scholarships were awarded to students to support faculty/student research and creative partnerships. In 2018/19 there were 30 students, 15 projects, and five departments participating in the CAHSS Undergraduate Research/Creative Works Fellowships, resulting in many research presentations on campus and at professional meetings both regionally and nationally.

Much of the remaining balance was applied to support new faculty including new faculty computing needs for research purposes or travel for research dissemination. We also used a small amount to support the translation of college communication into Spanish for broader reach and bridge salary and benefit support for an SPF-funded employee working in support of our first year learning community for students.

In 2018/19 we spent a total of \$23,519. This left a balance remaining of \$13,661, currently being used to fund student/faculty research partnerships (we had our highest level of participation yet in response to our call this year).

College of Natural Resources and Sciences

Dr. Dale Oliver, Dean

The College of Natural Resources and Sciences (CNRS) received an allocation of \$314,862 from Indirect Cost Recovery in the Fall, 2018. There was a total of \$250,029 in expenditures in 18/19. CNRS Expended \$224,147 on five new faculty research start-up funds. CNRS expended \$1,398 on travel, including \$1,000 for research workshops for faculty in Oceanography, \$199 for student research opportunities, \$139 to support the Dean's participation in an Agricultural Research Institute meeting, and \$60 to support insurance for foreign travel. CNRS Expended \$24,484 on research infrastructure, including \$8,073 toward a gas chromatograph instrument in engineering, \$500 for new faculty computing needs, \$3,516 for research software in Chemistry, \$3,545 for the installation of a biosafety hood in the CNRS Core Facility, \$6,503 to renovate research space in Geology and \$2,347 to complete ongoing renovations in Chemistry for new faculty.

College of Professional Studies

Dr. Shawna Young, Dean

The College of Professional Studies (CPS) received an allocation of \$12,646 from Indirect Cost Recovery in the Fall of 2018. CPS supported research related travel, along with hiring a Special Consultant to help with Adapted Physical Education (APE) grant research work. The consultants from 2017-18 and 2018-2019 served as mentors to APE credential students in teaching assignments. They also developed aquatics training materials in preparation for FITAM aquatics camp, as well as preparing evaluation reports. Travel included State and National Adapted Physical Education conferences associated with the FITAM program research.

Student Affairs/Enrollment Management

Dr. Jason Meriwether, Vice President of Enrollment Management

The Office of the Vice President of Student Affairs, now known as Vice President of Enrollment Management, received an allocation of \$3,693, from indirect cost recovery in Fall 2018. \$3,516 was allocated to support travel and registration for a TRiO Upward Bound (F30850-TRiO UB 17-22) professional staff member to attend a policy seminar in Washington D.C. facilitated by the Council of Opportunity in Education.

University Advancement

Frank Whitlatch, Vice President University Advancement

This is the first year of grant activity to earn revenue for University Advancement. No expenditures were made with the \$50 allocation earned from KHSU.

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2017
Based on Effective Rate

\$1,028,444

Percent of Spending

Potential Distribution

\$ 1,028,328

Level	Percentage	Description	Percent of Spending	Amount
Level One	5%	to HSU President's Trust T8041		51,416
		Remaining Balance		976,912
Level Two	15%	of Remaining Balance to Dean of Research T7003		146,537
		Remaining Balance		830,375
Level Three	50%	of Remaining Balance to Colleges		415,187
		Academic Affairs Res. Trust T8010 ACADEMIC AFFAIRS	0.31%	1,290
		CAHSS Research Trust T7720 COLLEGE OF ARTS, HUM, - SS	10.60%	44,022
		CNRS Research Trust T7006 COLLEGE OF NAT RES. - SCIENCES	57.78%	239,910
		CPS Research Trust T7951 COLLEGE OF PROF STUDIES	3.49%	14,502
		HSU President's Trust T8041 PRESIDENT	5.38%	22,340
		Dean of Research & SP Trust T7003 OFFICE OF RESEARCH	22.43%	93,125
	20%	of Remaining Balance to Departments		166,075
		Anthropology Research Trust T7940 ANTHROPOLOGY	9.50%	15,775
		Biological Sciences Research Trust T7932 BIOLOGICAL SCIENCES	5.53%	9,183
		Economics Research Trust T7960 ECONOMICS	1.06%	1,762
		Engineering Research Trust T7722 ENGINEERING	9.10%	15,119
		Environmental Science & Management Research Trust T7532 ENVIRONMENTAL SCIENCE - MGMT	5.52%	9,168
		Fisheries Research Trust T7943 FISHERIES BIOLOGY	4.44%	7,372
		Forestry & Wildland Research Trust T7716 FORESTRY - WILDLAND RESOURCES	2.20%	3,652
		Geography Research Trust T7695 GEOGRAPHY	0.65%	1,077
		Geology Research Trust T7627 GEOLOGY	0.58%	971
		Kinesiology & Rec Research Trust T8056 KINESIOLOGY - RECREATION ADMIN	1.38%	2,296
		NEW LIBRARY DEAN	0.31%	516
		Marine Facilities Research Trust T8035 MARINE FACILITIES	2.48%	4,118
		Research Ancill Support Trust T7953 NATURAL RES ANCILL SUPP	2.65%	4,407
		Oceanography Research Trust T7686 OCEANOGRAPHY	2.08%	3,454
		Off of Econ Comm Business DVLP T7494 OFFICE OF ECON,COMM - BUS.DEV.	22.43%	37,250
		Physics Research Trust T7783 PHYSICS - ASTRONOMY	0.25%	417
		HSU President's Trust T8041 PRESIDENT'S OFFICE	2.92%	4,849
		Social Work Research Trust T7624 SOCIAL WORK	0.25%	413
		Sociology Research Trust T7625 SOCIOLOGY	0.03%	50
		Theatre, Film, Dance Research Trust T8017 THEATRE, FILM AND DANCE	0.43%	720
		Wildlife Research Trust T7692 WILDLIFE MANAGEMENT	12.74%	21,159
		River Institute Trust T8005 RIVER INSTITUTE	0.57%	942
		Marine & Coastal Science Trust T8006 HUMBOLDT MARINE & COASTAL SCIENCES INSTITUTE	1.22%	2,020
		Earthquake Center Trust T7723 HUMBOLDT EARTHQUAKE EDUCATION CENTER	0.41%	676
		SERC Residual Trust T7467 SCHATZ ENERGY RESEARCH CENTER	7.95%	13,204
		CASAE Trust T7789 CENTER FOR APPLIED SOCIAL ANALYSIS AND EDUCATION	0.03%	50
		Cost Center - CCRP Trust T7444 CALIFORNIA CENTER FOR RURAL POLICY	2.46%	4,087
		Institute for Spatial Analysis T7996 INSTITUTE FOR SPATIAL ANALYSIS	0.06%	103
		NEW HUMBOLDT INSTITUTE FOR INTERDISCIPLINARY MARIJUANA RESEARCH	0.80%	1,330
	30%	of Remaining Balance to PI Trust Funds		249,112
		ABELL, J. PI TRUST T8036 Abell,Jeffrey	0.68%	1,701
		ACHILLI, A.-PI TRUST T8012 Achilli, Andrea	0.53%	1,313
		NEW Alstone, Peter	0.61%	1,530
		BARTON, D. PI TRUST T8030 Barton, Daniel	1.21%	3,009
		BEAN, T. P.I. TRUST T8032 Bean, Tim	0.56%	1,392
		BERRILL P.I. TRUST T7796 Berrill, John-Pascal	0.14%	342
		BORGELD, J PI TRUST T7750 Borgeld,Jeffry	1.85%	4,613
		NEW Buchheister, Andre	0.06%	147
		NEW Clucas, Barbara	4.70%	11,714
		COLWELL PI TRUST T7913 Colwell,Mark	0.68%	1,688
		CRAIG, S. - P.I. TRUST T7980 Craig, Sean	0.54%	1,350
		DENGLER, L PI TRUST T7942 Dengler,Lori	0.74%	1,837
		DUNK, J PI TRUST T7914 Dunk,Jeff	1.27%	3,159
		ESCHKER, E PI TRUST T7916 Eschker,Erick	1.60%	3,990

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2017
Based on Effective Rate

\$1,028,444

Percent of Spending

Potential Distribution

\$ 1,028,328

FINGERMAN, K. - PI TRUST	T8059	Fingerman, Kevin	0.69%	1,712
FINNEY, B PI TRUST	T7924	Finney,Brad	0.63%	1,561
GOLEY, D PI TRUST	T7751	Goley,Dawn	0.55%	1,369
GOLIGHTLY, R PI TRUST	T7735	Golightly,Rick	0.24%	588
	NEW	Graham, Jim	0.12%	310
GREENE, D. - PI TRUST	T8060	Greene, David	0.40%	986
HACKETT PI TRUST	T7927	Hackett, Steve	0.13%	324
HEMPHILL-HALEY, E.-PI TRUST	T8013	Hankin,Dave	0.47%	1,162
HAN P.I. TRUST	T7950	Han-Sup, Han	0.25%	623
HEMPHILL-HALEY, M. P.I. TRUST	T8039	Hemphill-Haley, Mark	0.03%	86
	NEW	Henderson, Mark	0.12%	308
HENKEL, T PI TRUST	T7944	Henkel, Terry	1.58%	3,943
HOYLE, C PI TRUST	T7918	Hoyle,CD	0.25%	625
JACOBSON, A PI TRUST	T7482	Jacobson,Arne	11.00%	27,414
JOHNSON, K. PI TRUST	T7458	Johnson, Kristin	22.43%	55,875
JOHNSON PI TRUST	T7931	Johnson,Matthew	1.76%	4,377
JULES PI TRUST	T7877	Jules, Erik	1.16%	2,892
KANE, J. PI TRUST	T8031	Kane, Jeff	0.13%	331
KELLY, E PI TRUST	T8028	Kelly, Erin	0.31%	784
KINZIGER, A. - P.I. TRUST	T7990	Kinziger,Andrew	1.15%	2,862
LEHMAN, P. - P.I. TRUST	T7757	Lehman,Peter	3.59%	8,955
MAGUIRE, J P.I. TRUST	T8043	Maguire, Jennifer	0.11%	281
	NEW	Marino, Carly	0.31%	774
Marshall, S PI Trust	T7919	Marshall,Susan	0.21%	522
MARTIN, S. - P.I. TRUST	T7905	Martin,Steve	3.16%	7,883
MULLIGAN, T. - P.I. TRUST	T7761	Mulligan,Tim	1.50%	3,729
O'DOWD, A. PI TRUST	T7936	O'Dowd, Alison	0.56%	1,407
ORTEGA, J. PI TRUST	T8051	Ortega, Justus	1.38%	3,444
RAO, M PI TRUST	T7923	Rao, Mahesh	0.43%	1,083
RICHMOND, L PI TRUST	T8061	Richmond, Laurie	1.05%	2,611
ROSCOE P.I. TRUST	T7917	Roscoe,James	9.50%	23,663
SCHEERER, D PI TRUST	T7926	Scheerer, David	0.43%	1,080
SHERRIFF, R PI TRUST	T7921	Sherriff, Rosemary	0.65%	1,615
STEWART, C PI TRUST	T7906	Stewart,Connie	5.38%	13,404
A. STUBBLEFIELD RAA TRUST	T7492	Stubblefield, Andrew	0.31%	764
SWARTZ, R.- PI TRUST	T8019	Swartz, Ronnie	0.14%	338
SZEWCZAK, J PI TRUST	T7989	Szewczak,Joe	0.14%	342
SZYKMAN-GUNTHER, M.-PI TRUST	T8023	Szykman Gunther, Micaela	1.58%	3,934
TISSOT, B.- PI TRUST	T8022	Tissot, Brian	1.92%	4,782
TRUSH, W. PI TRUST	T8042	Trush, William	0.57%	1,417
	NEW	Virnoche, Mary	0.02%	50
WARD, D PI TRUST	T7930	Ward, Darren	1.57%	3,919
WILZBACH, P PI TRUST	T7813	Wilzbach,Peggy	3.11%	7,746
ZHONG, J PI TRUST	T7903	Zhong,Jianmin	0.50%	1,247
BJORKSTEDT, E. PI TRUST	T7456	Bjorkstedt, Eric	0.86%	2,148
DAGLEY, C PI TRUST	T7928	Dagley, Christa	0.02%	50
SPROWLES, A. PI TRUST	T8050	Sprowles, Amy	1.76%	4,376
	NEW	Admire, Amanda	0.22%	548
MARTINEK, C. PI TRUST	T8037	Martinek, Christina	0.02%	50
SHAUGHNESSY, F PI TRUST	T7976	Shaughnessy, Frank	0.44%	1,091

HUMBOLDT STATE UNIVERSITY SPONSORED PROGRAMS FOUNDATION
ANNUAL REPORT OF INDIRECT COST RECOVERY EXPENDITURES

Fiscal Year 2017-2018

Office of the President

Lisa A. Rossbacher, HSU President

The President's Research Trust received \$51,416 in fiscal year 2017-18 from the Sponsored Programs Foundation from otherwise undistributed recovered indirect costs. Funds were used for the McCrone Promising Faculty Scholars Awards (\$4,500). These awards recognized three of HSU's newer faculty: Amanda Hahn, Department of Psychology; Melanie Michalak, Department of Geology; and Cutcha Risling Baldy, Department of Native American Studies. The McCrone Awards acknowledge their potential in their field of research and encourage their continued achievement. Each faculty member was awarded \$1,500 to further her research, scholarship, and creative activities.

The remaining balance of \$46,916 of FY 2017-18 funds were used toward the total of \$75,000 of IDC funds allocated from the President's Research Trust to Academic Affairs for new faculty research start-up funds. The total included \$28,804 from prior year IDC funds.

A total of \$22,340 in IDC funds was directed to the California Center for Rural Policy (CCRP). This includes research and work with regional health care CEOs on health care and human services workforce challenges in Humboldt County. Of this, \$10,420 was used to assist College of the Redwoods and Humboldt State University in their efforts to create a collaborative RN-to-BSN program.

\$3,511 was used to support a coordinator position for the Humboldt Food Policy Council, which is leading efforts to identify and understand challenges of food production and distribution in Humboldt County.

\$7,209 of the funds went toward purchasing research software and supplies to better serve all of CCRP's clients. Funds were also used to hire MarCom to update the CCRP website to more clearly reflect CCRP's connection to HSU, utilizing HSU branding.

Additionally, \$1,200 was used to support the Pre and Post-natal Substance Use Disorder project. All 2017-18 recovered IDC funds that were otherwise undistributed given to CCRP were spent.

Office of Research & Sponsored Programs

Kacie Flynn, Interim Executive Director

The Office of Research & Sponsored Programs (ORSP) received an allocation of \$239,662 from Indirect Cost Recovery in the fall of 2017. Funds were allocated per policy in two allotments:

Level 2 to the Office of Research (\$146,537) and Level 3 to ORSP (\$93,125) due to awards of individuals reporting to this office.

An allocation of \$18,670 was made to SERC for grant writing on the CEC proposal to develop a microgrid at the Arcata Airport; *this proposal was successfully funded in August for \$5M.*

\$11,000 was given to support the Small Business Development Center's (SBDC) internship program. Funding goes towards the costs of employing 10-15HSU students as interns at the SBDC site offices. \$12,500 was given to support the Art Department to help purchase a 3D printer and CNC router for use by students in the Jewelry/Small Metals lab.

\$19,000 was used as seed money for small projects not funded through available RSCA funding. These included Jeff Black's proposal, *North Coast Otters - Public Arts Initiative: Merging Citizen Art & Science* and Taylor Bloedon's proposal *Effects of Wild Blueberries on fat oxidation rates during exercise* among others.

\$1,530 was used to supplement poster printing costs for HSU's 2018 ideaFest research symposium. An additional \$30,234 was allocated to faculty and students for conference attendance, lab equipment, travel, sponsoring workshops and luncheons for campus research partners, and student wages. *Details of allotments available upon request.*

Academic Affairs

Alex Enyedi, Provost/Vice President Academic Affairs

Academic Affairs received an allocation of \$1,290 from Indirect Cost Recovery in fall 2017. No expenses were made during 17/18. Plans for 18/19 consist of travel support for faculty presenting research.

College of Arts, Humanities, & Social Sciences

Lisa Bond-Maupin, Dean

The College of Arts, Humanities, and Social Sciences received an allocation of \$44,022 from Indirect Cost Recovery in the fall of 2017. We are committed to allocating all funds generated through funded scholarly activity in support of research and creative work in the college. During the academic year, \$15,823 were expended to provide small grants/scholarships to students for undergraduate research or creative works in partnership with faculty. In 2017/18 there were 41 students, 20 projects, and seven departments participating in the CAHSS Undergraduate Research/Creative Works Fellowships, resulting in many research presentations on campus and at professional meetings regionally/nationally. Research/creative work-related computer and other equipment expenditures totaled \$14,444. Much of this was in support of capacity building for new faculty. Travel associated with faculty research dissemination was \$3,760. Indexing of a research book for publication was \$662. Total year expenditures were \$34,689 leaving a balance

of \$9,333 to fund students conducting undergraduate research with faculty for academic year 2018/19.

College of Natural Resources and Sciences

Dale Oliver, Interim Dean

The College of Natural Resources and Sciences received an allocation of \$239,910 from Indirect Cost Recovery in the Fall of 2017. There was a total of \$194,060 in expenditures in 17/18. \$120,400 was used towards new faculty research start-up funds. Funding was used to support research facility renovations for two new faculty. \$62,196 was utilized for the creation of a high acid and trace metal analysis research lab in Chemistry. This included new cabinetry and utility services, a fume hood and other modifications to create an environment free of trace metals. \$8879 was used to modify a research lab including the installation of new stem cell incubators and a biosafety hood, and the installation of an eyewash. \$90 was used to support the purchase of an enzyme for a commitment to a faculty researcher, \$2000 was used as match to purchase GIS research software for Forestry and \$495 was used as match for publication fees in Wildlife. The balance of the funds were dedicated to future projects and faculty start up obligations.

College of Professional Studies

Manohar Singh, Dean

The College of Professional Studies received an allocation of \$14,501 from Indirect Cost Recovery in the fall of 2017. Along with prior years' unexpended funds, CPS was able to purchase research computers and accessories for newly hired and existing tenure track faculty in the School of Business, Kinesiology & Recreation Administration Department, and Psychology Department. These computers will be used for research by the various professors in the different departments. The College of Professional Studies also supported travel for research, along with hiring Special Consultants to help with grant research work.

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2016
Based on Effective Rate

\$1,012,864

Percent of Spending

Potential Distribution

\$ 1,012,864

Level One	5%	to HSU President's Trust T8041		50,643
		Remaining Balance		962,221
Level Two	15%	of Remaining Balance to Dean of Research T7003		144,333
		Remaining Balance		817,888
Level Three	50%	of Remaining Balance to Colleges		408,944
CAHSS Research Trust	T7720	COLLEGE OF ARTS, HUM, - SS	11%	44,732
CNRS Research Trust	T7006	COLLEGE OF NAT RES. - SCIENCES	54%	219,797
CPS Research Trust	T7951	COLLEGE OF PROF STUDIES	2%	10,192
HSU President's Trust	T8041	PRESIDENT	11%	44,707
Dean of Research & SP Trust	T7003	OFFICE OF RESEARCH	22%	89,516
	20%	of Remaining Balance to Departments		163,578
Anthropology Research Trust	T7940	ANTHROPOLOGY	10%	16,293
Biological Sciences Research Trust	T7932	BIOLOGICAL SCIENCES	7%	12,009
Chemistry Research Trust	T7609	CHEMISTRY	0%	205
Economics Research Trust	T7960	ECONOMICS	1%	1,009
Engineering Research Trust	T7722	ENGINEERING	12%	19,913
Environmental Science & Management Research Trust	T7532	ENVIRONMENTAL SCIENCE - MGMT	5%	7,556
Fisheries Research Trust	T7943	FISHERIES BIOLOGY	5%	7,458
Forestry & Wildland Research Trust	T7716	FORESTRY - WILDLAND RESOURCES	6%	9,900
Geography Research Trust	T7695	GEOGRAPHY	1%	1,036
Geology Research Trust	T7627	GEOLOGY	1%	1,370
RESEARCH ANCILL SUPPORT TRUST	T7953	NATURAL RES ANCILL SUPP	2%	2,757
Oceanography Research Trust	T7686	OCEANOGRAPHY	2%	2,945
OFF OF ECON COMM BUSINESS DVLP	T7494	OFFICE OF ECON,COMM - BUS.DEV.	22%	35,807
Physics Research Trust	T7783	PHYSICS - ASTRONOMY	0%	799
HSU President's Trust	T8041	PRESIDENT'S OFFICE	5%	8,942
Social Work Research Trust	T7624	SOCIAL WORK	1%	937
Sociology Research Trust	T7625	SOCIOLOGY	0%	93
Wildlife Research Trust	T7692	WILDLIFE MANAGEMENT	2%	3,214
Kinesiology & Rec Research Trust	NEW	KINESIOLOGY - RECREATION ADMIN	1%	2,130
Theatre, Film, Dance Research Trust	T8017	THEATRE, FILM AND DANCE	0%	379
Marine Facilities Research Trust	T8035	MARINE FACILITIES	1%	1,957.32
River Institute Trust	T8005	RIVER INSTITUTE TRUST	0%	297
Marine & Coastal Science Trust	T8006	MARINE & COASTAL SCIENCE TRUST	0%	517
Earthquake Center Trust	T7723	EARTHQUAKE CENTER TRUST	0%	322
SERC Residual Trust	T7467	SERC RESIDUAL TRUST	10%	16,699
CASAE Trust	T7789	CASAE TRUST	0%	92
Cost Center - CCRP Trust	T7444	COST CENTER - CCRP TRUST	5%	8,941
	30%	of Remaining Balance to PI Trust Funds		245,366
ABARCA, C. PI TRUST NEW		Abarca, Cesar	0%	703
ABELL, J. PI TRUST	T8036	Abell,Jeffrey	0%	563
ACHILLI, A.-PI TRUST	T8012	Achilli, Andrea	1%	1,606
BARTON, D. PI TRUST	T8030	Barton, Daniel	0%	1,146
BEAN, T. P.I. TRUST	T8032	Bean, Tim	1%	1,497
BERRILL P.I. TRUST	T7796	Berrill, John-Pascal	1%	2,306
BJORKSTEDT, E. - P.I. TRUST	T7456	Bjorkstedt,Eric	0%	1,031
BORGELD, J PI TRUST	T7750	Borgeld,Jeffry	2%	4,417
BURKE, R. - PI Trust	NEW	Burke, Raymond (Bud)	0%	116
COLWELL PI TRUST	T7913	Colwell,Mark	1%	1,728
CRAIG, S. - P.I. TRUST	T7980	Craig, Sean	2%	4,574
DENGLER, L PI TRUST	T7942	Dengler,Lori	1%	1,920
DUFFY P.I. TRUST	T7739	Duffy,Walt	0%	1,118
DUNK, J PI TRUST	T7914	Dunk,Jeff	1%	2,333
EICHSTEDT, J. PI TRUST	T8040	Eichstedt, Jennifer	0%	139

Indirect Cost Revenue Distribution
Sensitivity Analysis
Year Ended June 30, 2016
Based on Effective Rate

\$1,012,864

Percent of Spending

Potential Distribution

\$ 1,012,864

Trust Name	ID	Name	Percent of Spending	Amount
FINGERMAN, K. - PI TRUST	NEW	FINGERMAN, KEVIN	1%	2,504
FINNEY, B PI TRUST	T7924	Finney,Brad	1%	2,343
GOLEY, D PI TRUST	T7751	Goley,Dawn	0%	1,083
GREENE, D. - PI TRUST	NEW	Greene, David	0%	832
HACKETT PI TRUST	T7927	Hackett, Steve	0%	757
HAN P.I. TRUST	T7950	Han-Sup, Han	0%	775
HEMPHILL-HALEY, E.-PI TRUST	T8013	Hemphill-Haley, Eileen	0%	174
HEMPHILL-HALEY, M. P.I. TRUST	T8039	Hemphill-Haley, Mark	0%	327
HOYLE, C PI TRUST	T7918	Hoyle,CD	0%	1,198
HURST P.I. TRUST	T7002	Hurst, Matthew	0%	308
JACOBSON, A PI TRUST	T7482	Jacobson,Arne	14%	33,854
JOHNSON, K. PI TRUST	T7458	Johnson, Kristin	22%	53,710
JULES PI TRUST	T7877	Jules, Erik	1%	2,182
KANE, J. PI TRUST	T8031	Kane, Jeff	0%	266
KELLY, E PI TRUST	T8028	Kelly, Erin	2%	3,727
KINZIGER, A. - P.I. TRUST	T7990	Kinziger,Andrew	1%	1,726
LANG, M PI TRUST	T7890	Lang,Margaret	0%	110
LEHMAN, P. - P.I. TRUST	T7757	Lehman,Peter	6%	14,501
Marshall, S PI Trust	T7919	Marshall,Susan	0%	769
MARTIN, S. - P.I. TRUST	T7905	Martin,Steve	3%	8,556
MESLER, M. PI TRUST	T7948	Mesler, Michael	0%	599
MULLIGAN, T. - P.I. TRUST	T7761	Mulligan,Tim	1%	1,394
O'DOWD, A. PI TRUST	T7936	O'Dowd, Alison	0%	445
ORTEGA, J. PI TRUST	T8051	Ortega, Justus	1%	3,196
RAO, M PI TRUST	T7923	Rao, Mahesh	1%	3,132
ROSCOE P.I. TRUST	T7917	Roscoe,James	10%	24,439
SCHEERER, D PI TRUST	T7926	Scheerer, David	0%	569
SHAUGHNESSY, F PI TRUST	T7976	Shaughnessy, Frank	1%	3,368
SHERRIFF, R PI TRUST	T7921	Sherriff, Rosemary	1%	1,554
STEWART, C PI TRUST	T7906	Stewart,Connie	11%	26,824
A. STUBBLEFIELD RAA TRUST	T7492	Stubblefield, Andrew	1%	2,519
SZEWCZAK, J PI TRUST	T7989	Szewczak,Joe	0%	1,048
TISSOT, B.- PI TRUST	T8022	Tissot, Brian	1%	2,349
TOMESCU, A.-PI TRUST	T8014	Tomescu, Alexandru	0%	643
WARD, D PI TRUST	T7930	Ward, Darren	4%	8,902
WILZBACH, P PI TRUST	T7813	Wilzbach,Peggy	2%	4,463
ZHONG, J PI TRUST	T7903	Zhong,Jianmin	1%	2,074
O'NEIL, M. PI TRUST	NEW	O'Neil Marissa	0%	703
GOLIGHTLY, R PI TRUST	T7735	Golightly, Rick	0%	382
DAGLEY, C PI TRUST	T7928	Dagley, Christa	0%	524
MARTINEK, C. PI TRUST	T8037	Martinek, Christina	0%	138
RICHMOND, L PI TRUST	NEW	Richmond, Laurie	0%	757
TRUSH, W. PI TRUST	T8042	Trush, William	0%	445

HUMBOLDT STATE UNIVERSITY SPONSORED PROGRAMS FOUNDATION
ANNUAL REPORT OF INDIRECT COST RECOVERY EXPENDITURES

Fiscal Year 2016-2017

Office of the President

Lisa A. Rossbacher, HSU President

The President's Research Trust received \$50,643 in fiscal year 2016-17 from the Sponsored Programs Foundation from otherwise undistributed recovered indirect costs. Funds were used for the McCrone Promising Faculty Scholars Awards of \$4,500. This award recognized three of HSU's newer faculty: Leena Dallasheh, History Department; Amber Gaffney, Psychology Department; and Tyler Stumpf, School of Business. The McCrone Award acknowledges their potential in their field of research and encourages their continued achievement. Each faculty member was awarded \$1,500 to further his or her research and creative activities.

The California Center for Rural Policy (CCRP) received an allocation of \$44,707. The amount of \$19,685.35 was used to support ongoing CCRP research and work with regional health care CEO's and community groups on health care and human services workforce challenges in Humboldt County. The amount of \$9,276.53 was used to support a coordinator position for the Humboldt Food Policy Council, which is leading efforts to identify and understand challenges of food production and distribution in Humboldt County, and ways our community can work together to solve some of these challenges. Additionally, \$13,852.62 was expended to assist the Yurok Tribe with research for their Comprehensive Economic Development Strategy. Total benefits paid for all activities listed above were \$11,003.35. All funding was used for CCRP staff and student wages and benefits.

Office of Research, Economic and Community Development

Steve Karp, Interim Dean

The Office of Research, Economic and Community Development (ORECD) received an allocation of \$233,849 from Indirect Cost Recovery in the fall of 2016. Funds were allocated per policy in two allotments: Level 2 to the Dean of Research (\$144,333) and Level 3 to ORECD (\$89,516) due to awards of individuals reporting to this office.

An allocation of \$5,191 was made to the HSU Library to help purchase a Map Scanner for Student Research in the Humboldt Room. This purchase supported an experiential learning project to provide hands on experience to students working as library interns. Each semester, interns will help manage the map scanning station in the library and help train undergraduates who need help learning how to use the scanner.

\$1,341 was used to help sponsor workshops and luncheons for campus research partners. These sponsored events included the 'Research Development Workshops' coordinated with the Library, the TRiO Nor Cal Chapter Meeting hosted by HSU Upward Bound, and the BRDI Waste to Wisdom Meeting.

\$6,215 was allocated to faculty and students for conference attendance, lab equipment, travel, and student wages. **Details of allotments available upon request.*

Plans for FY 17/18 expenditures include funds to prepare the EPIC proposal to develop a microgrid at the Arcata Airport, support to the Art Department to help purchase CNC router for 3D printing, and additional seed money for small projects not funded through available RSCA funding.

College of Arts, Humanities, & Social Sciences

Lisa Bond-Maupin, Dean

The College of Arts, Humanities, and Social Sciences received an allocation of \$44,732 from Indirect Cost Recovery in the fall of 2016. During the academic year, \$19,691 were expended to provide small grants/scholarships to students conducting undergraduate research in partnership with faculty. In 2016/17 there were 28 faculty and 69 students participating in the CAHSS Undergraduate Research fellowships, resulting in many research presentations on campus and at professional meetings. Research related computer and equipment expenditures totaled \$20,601. Travel associated with research dissemination was \$2,100. Total year expenditures were \$42,392 leaving a balance of \$2,340 to fund students conducting undergraduate research with faculty for academic year 2017/18.

College of Natural Resources and Sciences

Richard Boone, Dean

The College of Natural Resources and Sciences received an allocation of \$219,797 from Indirect Cost Recovery in the fall of 2016. During the academic year, \$131,000 was used towards new faculty research start-up funds and \$45,874 was utilized for the renovation of a research laboratory space in Science A, including the building of a physics research computer lab and an office for a new faculty member. Additionally, \$4,575 was expended for a remotely operated vehicle project at the Marine lab, \$2,000 was used as match to purchase a vehicle in support of the Marine Mammal Research Program, and \$500 was utilized to support a Hydroponics and Aquaculture Project. The balance of the funds was dedicated to future projects and faculty start up obligations.

College of Professional Studies

Manohar Singh, Dean

The College of Professional Studies received an allocation of \$10,192 from Indirect Cost Recovery in the fall of 2016. Along with prior years' unexpended funds, CPS was able to purchase research computers and accessories for newly hired and existing tenure track faculty in the School of Business, Child Development Department, Kinesiology & Recreation Administration Department, and Psychology Department. These computers will be used for research by the various professors in the different departments.

Indirect Cost Revenue
Sensitivity Analysis
Year Ended June 30, 2015
Based on Effective Rate

Percent of Spending

Potential Distribution

\$ 403,083

Level One	5%	to HSU President's Trust A0520		20,154
		Remaining Balance		382,929
Level Two	15%	of Remaining Balance to Dean of Research T7003		57,439
		Remaining Balance		325,490
Level Three	50%	of Remaining Balance to Colleges		162,745
CAHSS Research Trust	T7720	COLLEGE OF ARTS, HUM, - SS	10%	17,088
CNRS Research Trust	T7006	COLLEGE OF NAT RES. - SCIENCES	61%	99,417
CPS Research Trust	T7951	COLLEGE OF PROF STUDIES	2%	2,154
HSU Presidents Trust	A0520	PRESIDENT	7%	11,984
Student Affairs Research Trust	T8016	STUDENT AFFAIRS	0%	189
Dean of Research & SP Trust	T7003	OFFICE OF RESEARCH	20%	31,913
	20%	of Remaining Balance to Departments / Affiliated Center & Institute		65,098
Anthropology Research Trust	T7940	ANTHROPOLOGY	9%	5,800
Biological Sciences Research Trust	T7932	BIOLOGICAL SCIENCES	8%	5,090
Chemistry Research Trust	T7609	CHEMISTRY	0%	50
Economics Research Trust	T7960	ECONOMICS	1%	606
Engineering Research Trust	T7722	ENGINEERING	11%	7,167
Environmental Science & Management Research Trust	T7532	ENVIRONMENTAL SCIENCE - MGMT	4%	2,662
Fisheries Research Trust	T7943	FISHERIES BIOLOGY	6%	3,909
Forestry & Wildland Research Trust	T7716	FORESTRY - WILDLAND RESOURCES	9%	6,103
Geography Research Trust	T7695	GEOGRAPHY	1%	349
Geology Research Trust	T7627	GEOLOGY	1%	663
Research Ancill Support Trust	T7953	NATURAL RES ANCILL SUPP	2%	1,591
Oceanography Research Trust	T7686	OCEANOGRAPHY	2%	1,166
Off of Econ Comm Business Dvlp Trust	T7494	OFFICE OF ECON,COMM - BUS.DEV.	20%	12,765
Physics Research Trust	T7783	PHYSICS - ASTRONOMY	1%	393
HSU Presidents Trust	A0520	PRESIDENT'S OFFICE	4%	2,397
Social Work Research Trust	T7624	SOCIAL WORK	0%	184
Sociology Research Trust	T7625	SOCIOLOGY	0%	68
Wildlife Research Trust	T7692	WILDLIFE MANAGEMENT	5%	3,427
Theatre, Film, Dance Research Trust	T8017	THEATRE, FILM AND DANCE	1%	550
Counseling-Psych Svcs Research Trust	T8025	COUNSELING - PSYCH SVCS	0%	75
	NEW	MARINE FACILITIES	2%	1,351
Earthquake Center Trust	T7723	HUMBOLDT EARTHQUAKE EDUCATION CENTER	0%	161
SERC Residual Trust	T7467	SCHATZ ENERGY RESEARCH CENTER	9%	5,983
River Institute Trust	T8005	THE RIVER INSTITUTE	0%	97
CCRP Trust	T7450	CA CENTER FOR RURAL POLICY	4%	2,397
	NEW	ALTRUISTIC PERSONALITY AND PROSOCIAL BEHAVIOR INSTITUTE	0%	72
CASAE Trust	T7789	CENTER FOR APPLIED SOCIOLOGY ANALYSIS AND EDUCATION	0%	68
	30%	of Remaining Balance to PI Trust Funds		97,647
	NEW	Abell, Jeffrey	0%	56
Achilli, A. - PI Trust	T8012	Achilli, Andrea	1%	811
	NEW	Barton, Daniel	0%	269
	NEW	Bean, Tim	0%	81
BERRILL P.I. TRUST	T7796	Berrill, John-Pascal	1%	375
BJORKSTEDT, E. - P.I. TRUST	T7456	Bjorkstedt, Eric	0%	288
Borgeld, J PI Trust	T7750	Borgeld, Jeffry	2%	1,684
Cass, C. - PI Trust	T8011	Cass, Christine	0%	50
COLWELL PI TRUST	T7913	Colwell, Mark	1%	716

Indirect Cost Revenue
Sensitivity Analysis
Year Ended June 30, 2015
Based on Effective Rate

Percent of Spending

Potential Distribution

\$ 403,083

CRAIG, S. - P.I. TRUST	T7980	Craig, Sean	2%	2,331
	NEW	Dagley, Christa	0%	169
Dengler, L PI Trust	T7942	Dengler, Lori	1%	859
DUFFY P.I. TRUST	T7739	Duffy, Walt	0%	414
Dunk, J PI Trust	T7914	Dunk, Jeff	1%	727
	NEW	Eichstedt, Jennifer	0%	144
Finney, B PI Trust	T7924	Finney, Brad	1%	1,011
Goley, D PI Trust	T7751	Goley, Dawn	0%	50
Golightly, R PI Trust	T7735	Golightly, Rick	4%	3,679
HACKETT PI TRUST	T7927	Hackett, Steve	1%	908
HANKIN, D. - P.I. TRUST	T7892	Hankin, Dave	0%	190
HAN P.I. TRUST	T7950	Han-Sup, Han	7%	6,461
Hemphill-Haley, E. - PI Trust	T8013	Hemphill-Haley, Eileen	0%	337
	NEW	Hemphill-Haley, Mark	0%	50
Hoyle, C PI Trust	T7918	Hoyle, C.D.	1%	590
HURST P.I. TRUST	T7002	Hurst, Matthew	0%	50
Jacobson, A PI Trust	T7482	Jacobson, Arne	12%	12,050
Johnson, K PI Trust	T7458	Johnson, Kristin	20%	19,148
JOHNSON PI TRUST	T7931	Johnson, Matthew	0%	50
JULES PI TRUST	T7877	Jules, Erik	1%	868
	NEW	Kahara, Sharon	0%	102
	NEW	Kane, Jeff	0%	232
	NEW	Kelly, Erin	0%	427
KINZIGER, A. - P.I. TRUST	T7990	Kinziger, Andrew	1%	1,119
LEHMAN, P. - P.I. TRUST	T7757	Lehman, Peter	6%	5,852
Marshall, S PI Trust	T7919	Marshall, Susan	0%	390
MARTIN, S. - P.I. TRUST	T7905	Martin, Steve	3%	3,103
	NEW	Martinek, Christina	0%	60
MESLER, M. PI TRUST	T7948	Mesler, Michael	0%	128
MULLIGAN, T. - P.I. TRUST	T7761	Mulligan, Tim	2%	1,894
O'DOWD, A. PI TRUST	T7936	O'Dowd, Alison	0%	154
O'Gara, B PI Trust	T7922	O'Gara, Bruce	1%	1,050
Rao, M PI Trust	T7923	Rao, Mahesh	1%	807
REISS PI TRUST	T7879	Reiss, John	0%	123
ROSCOE P.I. TRUST	T7917	Roscoe, James	9%	8,700
Sanford, J. - PI Trust	T8024	Sanford, Jennifer	0%	113
Scheerer, D PI Trust	T7926	Scheerer, David	1%	824
Shaughnessy, F PI Trust	T7976	Shaughnessy, Frank	2%	1,583
Sherriff, R PI Trust	T7921	Sherriff, Rosemary	1%	524
Stewart, C PI Trust	T7906	Stewart, Connie	7%	7,190
A. STUBBLEFIELD RAA TRUST	T7492	Stubblefield, Andrew	0%	294
Swartz, R. - PI Trust	T8019	Swartz, Ronnie	0%	384
Szewczak, J PI Trust	T7989	Szewczak, Joe	0%	50
Szykman Gunther, M. - PI Trust	T8023	Szykman Gunther, Micaela	0%	384
Tissot, B. - PI Trust	T8022	Tissot, Brian	2%	2,027
Tomescu, A. - PI Trust	T8014	Tomescu, Alexandru	0%	362
	NEW	Trush, William	0%	154
Ward, D PI Trust	T7930	Ward, Darren	2%	2,372
Wilzbach, M PI Trust	T7813	Wilzbach, Peggy	2%	1,898
Zhong, J PI Trust	T7903	Zhong, Jianmin	1%	1,110

* Note amounts rounded up to \$50 minimum (increased by \$232; total distribution \$403,315)

HUMBOLDT STATE UNIVERSITY SPONSORED PROGRAMS FOUNDATION
ANNUAL REPORT OF INDIRECT COST RECOVERY EXPENDITURES

Fiscal Year 2015-2016

Office of the President

Lisa A. Rossbacher, HSU President

The President's Advancement Foundation Trust Account A0520 received \$34,535 in fiscal year 2015-16 from the Sponsored Programs Foundation from otherwise undistributed recovered indirect costs. Of those funds, \$20,154 was moved to the new Sponsored Programs Foundation President's Research Trust T8041. The amount of \$14,381 was transferred directly to CCRP (California Center for Rural Policy), Trust Account T7906.

The funds in T8041 President's Research Trust were used to pay consultant fees in the amount of \$15,654, for training, advising, and consulting in the preparation of an HSI (Hispanic Serving Institution) proposal to the U.S. Department of Education. As a result, HSU was awarded nearly \$4 million to increase the number of Hispanic and low-income undergraduate students in science, technology, engineering, or mathematics (STEM) majors. The grant will provide hands-on learning opportunities that connect coursework to pressing scientific, social, and environmental issues in our community.

Funds in T8041 were also used for the McCrone Awards in the amount of \$4,500. This award recognized three of HSU's newer faculty: Tim Bean, Meredith Williams, and Jeffrey Kane. The McCrone Award acknowledges their potential in their field of research and encourages their continued achievement. They were each awarded \$1,500 to further their research and creative activities.

The amount of \$14,381 moved to CCRP PI Trust T7906. CCRP was able to use the Indirect Revenue to help fund research work in three areas: (1) Funding was used to support ongoing CCRP research and work with regional health care CEO's and community groups on health care and human services workforce challenges in Humboldt County; (2) CCRP was able to continue working on broadband deployment, adoption and policy work with unserved and underserved areas in rural Northern California; and (3) We were able to use funding to support a coordinator position for the Humboldt Food Policy which is leading efforts to identify and understand challenges of food production and distribution in Humboldt County, and ways our community can work together to solve some of these challenges.

Office of Research, Economic and Community Development

Steve Karp, Interim Dean

The Office of Research, Economic and Community Development (ORECD) received an allocation of \$89,352 from Indirect Cost Recovery in the fall of 2015. Funds were allocated per

policy in two allotments: Level 2 to the Dean of Research (\$57,439) and Level 3 to ORECD (\$31,913) due to awards to individuals reporting to this office.

An allocation of \$10,000 was made to the Northern California Small Business Development Center HSU Summer Internship Program which provided summer salary to 10 students to work at business centers throughout California, \$10,000 to support the research activities of the Humboldt Marine and Coastal Sciences Institute, \$8,000 to CNRS for use in BioCore equipment upgrades, and \$6,000 to CAHSS to support “Beginning Grant Writing: A Faculty Learning Community.”

\$2,250 was allocated to the HSU Staff Council to offer three awards of \$750 each to full-time HSU staff members who have been innovative and entrepreneurial.

\$38,900 was allocated to faculty in \$1,000-2,500* allotments and staff for wages, conference attendance, lab equipment, student travel, and student wages. **Details of allotments available upon request.*

Total year end expenditures were \$75,150 leaving a balance of \$14,202 which was partially allocated to faculty for travel taking place in 16/17.

College of Arts, Humanities, & Social Sciences

Noah Zerbe, Interim Dean

The College of Arts, Humanities, & Social Sciences used the funding we received to provide small grants to students conducting undergraduate research with faculty. In 15/16 there were 26 faculty and 56 students participating in the CAHSS Undergraduate Research fellowships.

College of Natural Resources and Sciences

Richard Boone, Dean

The College of Natural Resources and Sciences received an allocation of \$99,417 from Indirect Cost Recovery in the fall of 2015. During the academic year, \$6,694.45 were expended in salaries and benefits for instructional support for a Fisheries class and faculty support for the Klamath Connection. Travel associated with research and conference attendance was \$2,300. Support of a Hydroponics and Aquaculture Project was \$1,273.45. The remaining balance of \$89,149.10 was used towards new faculty research start-up funds.

College of Professional Studies

Chris Hopper, Interim Dean

The College of Professional Studies received an allocation of \$2,154 from Indirect Cost Recovery in the Fall of 2015. Along with prior years' unexpended funds, CPS was able to

purchase research computers, accessories and scientific software for newly hired tenure track faculty in the Psychology Department. These computers and software will be used for speech perception and production experiments, surveys and experiments on social psychology, and statistical research on human development.

Office of Student Affairs

Peg Blake, Vice President

No expenditures were made with the 14/15 (\$576) or 15/16 (\$189) allocations. Planned 16/17 expenditures include a supplement to the Check It Bystander Intervention program, Avon Grant N4529.

Excess Indirect Cost Revenue
Sensitivity Analysis
Year Ended June 30, 2014
Based on Effective Rate

		<u>Percent of Spending</u>	\$ 140,183	<u>Percent of Total</u>
Potential Distribution				
Level One	5%	to HSU President's Trust T7638 To A0520 per Presidents Request	7,009	5%
		Remaining Balance	133,174	
Level Two	15%	of Remaining Balance to Dean of Research T7003	19,976	14%
		Remaining Balance	113,198	
Level Three	50%	of Remaining Balance to Colleges	56,599	40%
		COLLEGE OF ARTS, HUM, - SS	1,560	3%
		COLLEGE OF NAT RES. - SCIENCES	37,562	66%
		COLLEGE OF PROF STUDIES	1,494	3%
		PRESIDENT	4,368	8%
		STUDENT AFFAIRS	576	1%
		OFFICE OF RESEARCH	10,953	19%
		ACADEMIC AFFAIRS	87	0%
	20%	of Remaining Balance to Departments	22,640	16%
		ANTHROPOLOGY	221	1%
		BIOLOGICAL SCIENCES	2,691	12%
		CHEMISTRY	29	0%
		ECONOMICS	160	1%
		ENGINEERING	4,109	18%
		ENVIRONMENTAL SCIENCE - MGMT	977	4%
		FISHERIES BIOLOGY	1,367	6%
		FORESTRY - WILDLAND RESOURCES	496	2%
		GEOGRAPHY	241	1%
		GEOLOGY	561	2%
		IND NAT RES, SCI-ENG PR	35	0%
		NATURAL RES ANCILL SUPP	1,643	7%
		OCEANOGRAPHY	613	3%
		OFFICE OF ECON,COMM - BUS.DEV.	4,381	19%
		PHYSICS - ASTRONOMY	219	1%
		PRESIDENT'S OFFICE (CCRP)	1,747	8%
		PSYCHOLOGY	302	1%
		SOCIAL WORK	136	1%
		SOCIOLOGY	56	0%
		WILDLIFE MANAGEMENT	2,320	10%
		HISTORY	42	0%
		THEATRE, FILM AND DANCE	64	0%
		COUNSELING - PSYCH SVCS	231	1%
	30%	of Remaining Balance to PI Trust Funds	33,959	24%
		Achilli, Andrea	205	1%
		Berrill, John-Pascal	325	1%
		Bjorkstedt, Eric	107	0%
		Bolman, Jacquelyn	52	0%
		Borgeld, Jeffrey	749	2%
		Brown, Richard	19	0%
		Cass, Christine	171	1%
		Colwell, Mark	252	1%
		Cortes-Rincon, Marisol	78	0%
		Craig, Sean	820	2%
		Dengler, Lori	509	1%

Excess Indirect Cost Revenue
Sensitivity Analysis
Year Ended June 30, 2014
Based on Effective Rate

Potential Distribution	Percent of Spending		Percent of Total
		\$ 140,183	
Duffy,Walt	6%	1,801	
Dunk,Jeff	1%	293	
Finney,Brad	0%	123	
Gahtan,Ethan	1%	453	
George,Luke	3%	997	
Goley,Dawn	0%	67	
Golightly,Rick	6%	2,031	
Hackett, Steve	1%	240	
Hankin,Dave	1%	375	
Han-Sup, Han	0%	166	
Hemphill-Haley, Eileen	1%	333	
Henkel, Terry	0%	7	
Hoyle,CD	1%	328	
Hurst, Matthew	0%	44	
Jacobson,Arne	11%	3,903	
Johnson, Kristin	19%	6,572	
Johnson,Matthew	0%	148	
Jules, Erik	2%	724	
Kinziger,Andrew	0%	149	
Lang,Margaret	1%	381	
Lehman,Peter	5%	1,552	
Marks,Sharon	0%	36	
Marshall,Susan	0%	105	
Martin,Steve	3%	1,172	
Mesler, Michael	0%	34	
Mulligan,Tim	0%	148	
O'Gara, Bruce	3%	1,182	
Pasztor, Suzanne	0%	63	
Rao, Mahesh	0%	4	
Reiss,John	0%	28	
Roscoe,James	1%	253	
Sanford, Jennifer	1%	346	
Scheerer, David	0%	154	
Shaughnessy, Frank	1%	386	
Sherriff, Rosemary	1%	362	
Siering, Patricia	0%	162	
Stewart,Connie	8%	2,621	
Stubblefield, Andrew	0%	144	
Swartz, Ronnie	0%	102	
Szewczak,Joe	0%	97	
Szykman Gunther, Micaela	0%	33	
Tissot, Brian	0%	2	
Tomescu, Alexandru	0%	25	
Virnoche, Mary	0%	84	
Ward, Darren	4%	1,271	
White,Jeffrey	0%	59	
Wilzbach,Peggy	2%	663	
Zhong,Jianmin	1%	347	
Yellow Bird, Michael	0%	102	

HUMBOLDT STATE UNIVERSITY SPONSORED PROGRAMS FOUNDATION
ANNUAL REPORT OF INDIRECT COST RECOVERY EXPENDITURES

Fiscal Year 2014-2015

Office of the President

Lisa A. Rossbacher, HSU President

The President's Advancement Foundation Trust Account A0520 received \$13,124 in fiscal year 2014-15 from Sponsored Programs Foundation for Excess Indirect Cost Revenue. Of those funds, \$6,284 was transferred to CCRP, Trust Account T7450.

CCRP has used \$6,100 to cover the cost of their Aligning Forces Project Manager's salary to conduct research and work with CEOs and community groups to plan and work toward forming an Accountable Care Community in Humboldt County. While the work is ongoing, the excess indirect funds have allowed CCRP to prepare the community to seek funding for future work. Two grants have already come in from the California Health Care Foundation to continue this work in the future. CCRP also expended \$184 in workshop expenses.

The remaining \$6,840 in the President's Trust has not yet been used, but has been earmarked as follows: \$1,000 is to be used for the supercomputer project headed by Dr. Ken Owens, professor of Mathematics, which supports hands-on research in a variety of disciplines; \$5,840 is earmarked for consultant fees for writing an HSI (Hispanic Serving Institution) grant. The Developing Hispanic-Serving Institutions (DHSI) Program provides grants to assist HSIs to expand educational opportunities for, and improve the attainment of, Hispanic students. These grants also enable HSIs to expand and enhance their academic offerings, program quality, and institutional stability.

Office of Research, Economic and Community Development

Rhea L. Williamson, Dean

The Office of Research, Economic and Community Development (ORECD) received an allocation of \$30,929 from Indirect Cost Recovery in the fall of 2014. Funds were allocated per policy in two allotments: Level 2 to the Dean of Research (\$19,976) and Level 3 to ORECD (\$10,953) due to awards to individuals reporting to this office.

An allocation of \$10,000 was made to the Northern California Small Business Development Center HSU Summer Internship Program which provided summer salary to 10 students to work at business centers throughout California. \$3,000 was allocated to the HSU Staff Council to offer four awards of \$750 each to full-time HSU staff members who have been innovative and entrepreneurial. \$5,532 were allocated to faculty and staff for wages, conference attendance, student travel, and student wages. \$3,759 was allocated to research support for IRB and IACUC

web-based upgrades. Support in the amount of \$884 for meetings with the California Shellfish Initiative was also provided.

Total expenditures were \$23,175 leaving a balance of \$7,754. Plans for the balance include additional student research support funds and faculty travel to research related conferences in FY 15/16.

College of Arts, Humanities, & Social Sciences

Kenneth Ayoob, Dean

With the \$1,560 distribution the College of Arts, Humanities, & Social Sciences received, the revenue was used to support the cost of a computer refresh for the Cultural Resources Facility.

College of Natural Resources and Sciences

Steven A. Smith, Dean

The College of Natural Resources and Sciences received an allocation of \$37,562 from Indirect Cost Recovery in the fall of 2014. During the academic year, \$6,087 were expended in salaries and benefits for technical support on the Remotely Operated Underwater Vehicle (ROV) recently donated to the Wildlife and Fisheries Cooperative Unit. Travel associated with research field sites and conference attendance was \$1,675, and \$675 were expended for publication costs. A one-time allocation of \$125 was used to cover cell phone service for the Oiled Wildlife Care Project after those grant funds had been spent. Thus, total expenditures were \$8,562 leaving a balance of \$29,000. Plans for the balance include partial coverage for research start-up funds for faculty who started in AY 15-16.

College of Professional Studies

John Lee, Dean

No expenditures were made with the \$1,494 allocated. College of Professional Studies plans to expend these funds on computers for research assistants for new tenure track faculty who started in AY 15-16.

Office of Student Affairs

Peg Blake, Vice President

No expenditures were made with the \$576 allocated.

Office of Academic Affairs

Theodora Kalikow, Interim Provost/Vice President

No expenditures were made with the \$87 allocated. Office of Academic Affairs will wait for additional distributions before they designate funds.

Excess Indirect Cost Revenue
Sensitivity Analysis
Year Ended June 30, 2012
Based on Effective Rate

		<i>Percent of Spending</i>	Scenario	Percent of Total
Potential Distribution			\$ 70,995	
Level One	5%	to HSU President's Trust	3,550	5%
		Remaining Balance	67,445	
Level Two	15%	of Remaining Balance to Dean of Research	10,117	14%
		Remaining Balance	57,328	
Level Three	50%	of Remaining Balance to Colleges	28,664	40%
		COLLEGE OF ARTS, HUM, - SS	10%	2,933
		COLLEGE OF NAT RES. - SCIENCES	69%	19,883
		COLLEGE OF PROF STUDIES	19%	5,358
		PRESIDENT'S OFFICE [CCRP]	1%	245
		CCRP [PRESIDENT'S OFFICE]	1%	245
	20%	of Remaining Balance to Departments	11,466	16%
		ANTHROPOLOGY	10%	1,095
		BIOLOGICAL SCIENCES	15%	1,688
		CHEMISTRY	0%	7
		CO-OP FISHERY UNIT	1%	110
		ECONOMICS	0%	0
		ENGINEERING	16%	1,794
		ENVIRONMENTAL SCIENCE - MGMT	7%	773
		FISHERIES BIOLOGY	6%	724
		FORESTRY - WILDLAND RESOURCES	5%	575
		GEOGRAPHY	1%	71
		GEOLOGY	3%	369
		IND NAT RES, SCI-ENG PR	0%	16
		MATHEMATICS	2%	264
		NATURAL RES ANCILL SUPP	5%	566
		OCEANOGRAPHY	2%	208
		OFFICE OF ECON,COMM - BUS.DEV.	19%	2,142
		PHYSICS - ASTRONOMY	0%	42
		PRESIDENT'S OFFICE	2%	196
		SOCIAL WORK	0%	1
		SOCIOLOGY	0%	7
		WILDLIFE MANAGEMENT	7%	816
	30%	of Remaining Balance to PI Trust Funds	17,199	24%
		Berrill, John-Pascal	2%	320
		Bjorkstedt, Eric	1%	144
		Black, Jeffrey	0%	25
		Bolman, Jacquelyn	0%	25
		Borgeld, Jeffry	2%	312
		Brown, Richard	0%	7
		Cashman, Susan	0%	6
		Craig, Sean	2%	275

Excess Indirect Cost Revenue
Sensitivity Analysis
Year Ended June 30, 2012
Based on Effective Rate

Potential Distribution	Percent of Spending	Scenario	Percent of Total
		\$ 70,995	
Dengler, Lori	2%	426	
Duffy, Walt	5%	827	
Dugaw, Chris	1%	163	
Dunk, Jeff	1%	166	
Eschker, Erick	0%	1	
Finney, Brad	2%	368	
George, Luke	1%	199	
Goley, Dawn	0%	37	
Golightly, Rick	5%	909	
Hankin, Dave	4%	754	
Han-Sup, Han	0%	76	
Hendrickson, Gary	1%	119	
Henkel, Terry	1%	220	
Hoyle, CD	0%	64	
Hurst, Matthew	0%	10	
Jacobson, Arne	5%	806	
Johnson, Kristin	19%	3,214	
Johnson, Matthew	0%	84	
Kelsey, Harvey	1%	121	
Kinziger, Andrew	0%	70	
Lang, Margaret	2%	430	
Lehman, Peter	6%	1,088	
Little, Judith	0%	12	
Loudenslager, Eric	0%	17	
Marks, Sharon	0%	30	
Marshall, Susan	0%	42	
Martin, Steve	5%	843	
Mesler, Michael	0%	59	
O'Gara, Bruce	3%	501	
Purcel, Alison	0%	2	
Rao, Mahesh	1%	133	
Roscoe, James	10%	1,642	
Shaughnessy, Frank	1%	95	
Sherriff, Rosemary	1%	107	
Siering, Patricia	1%	231	
Steinberg, Steven	1%	148	
Stewart, Connie	2%	294	
Stubblefield, Andrew	1%	206	
Szewczak, Joe	0%	74	
Van Kirk, Robert	1%	234	
Varner, Morgan	0%	85	
Ward, Darren	0%	16	
White, Jeffrey	5%	883	
Wilzbach, Peggy	1%	153	
Zhong, Jianmin	1%	125	