

HUMBOLDT STATE UNIVERSITY SPONSORED PROGRAMS FOUNDATION

INVESTMENT COMMITTEE MEETING

March 7, 2019
10:00am-12:00pm
SBS 405

AGENDA

- I. Call to Order
- II. Review 403(b) DC Plan – Participant Highlights
(Attachment A)
- III. Review Investment Portfolio with Vince Learned, NFP Senior Plan Consultant
(Attachment B)
- IV. Other
- V. Adjournment

Attachments:

Attachment A	Humboldt State University Foundation 403(b) DC Plan – Participant Highlights
Attachment B	Humboldt State University Foundation Fiduciary Investment Review

Retirement Plan Committee Meeting Attendees

Humboldt State University Sponsored Programs Foundation

Kacie Flynn, Executive Director
Carly Marino, Treasurer
C.D. Hoyle, Chair, Finance Committee
Jason Ramos, Community Director

NFP

Vince Learned, CFA, CFP®, CRPS®, Senior Plan Advisor

- Introduction
- Service Plan
- Fiduciary Investment Review
 - I. Market Review
 - II. Scorecard Methodology
 - III. Fund Review
 - IV. Principal Fixed Income Guaranteed Option
 - V. Style Box
- Plan Governance
 - I. IPS (Investment Policy Statement)
 - II. Investment Committee Charter
 - III. Resolutions
 - IV. Acceptance / Resignation
- Fiduciary Education
 - I. Duties & Responsibilities
 - II. Strategies to Help Minimize Liability
- Fidelity Bond and Fiduciary Liability Insurance
- Plan Highlights
- Legislative and Regulatory Updates
- Fiduciary Briefcase
- Questions and Action Items