

HUMBOLDT STATE UNIVERSITY SPONSORED PROGRAMS FOUNDATION

INVESTMENT COMMITTEE MEETING

March 24, 2020
10:00am-12:00pm
ZOOM Meeting ID: 323 606 3798
US: +1 408 638 0968
<https://nfp.zoom.us/j/3236063798>

AGENDA

- I. Call to Order
- II. Review Investment Portfolio with Vince Learned, NFP Senior Plan Consultant
(Attachment A)
- III. 403(b) Plan - Amendment for Required Hardship Withdrawal Provision Changes
(Attachment B)
- IV. Other
- V. Adjournment

Attachments:

Attachment A	Humboldt State University Foundation Fiduciary Investment Review
Attachment B	403(b) Plan - Amendment for Required Hardship Withdrawal Provision Changes

Retirement Plan Committee Meeting

March 24, 2020

10 am – Noon

Attendees

Humboldt State University Foundation

Kacie Flynn (non-voting), SPF Executive Director (Chair)

Carly Marino, Treasurer

Harold Zald, Chair, Finance Committee

Jason Ramos, Community Director

NFP

Vince Learned, CFA, CFP®, CRPS®, Senior Plan Advisor

Principal

Jack Gomez, Client Service Associate

QBI

Heidi Molen, Plan Consultant

- Introduction
- Service Plan
- Roles & Responsibilities
- ERISA 3(38) vs 3(21) and Fiduciary Responsibilities/Liability
- QBI
 - I. Role, Plan Highlights, Testing, Legislative & Regulatory
- Principal
 - I. Role, Plan Review, Resources
- Fiduciary Investment Review
 - I. Market Review
 - II. Scorecard Methodology
 - III. Fund Review
 - IV. Principal Fixed Income Guaranteed Option
- Legislative and Regulatory Updates
- Fiduciary Briefcase
- Questions and Action Items